

# FOUNDATIONS OF QUANTUM THEORY: NON-RELATIVISTIC APPROACH

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## Measurements: POVM

In this lecture, we will talk about the POVM that stands for Positive Operator Value Measurement. It's a type of generalized measurement and this contains every type of measurement allowed on a quantum system. So, this is the most general measurement we can have and any measurement can be written in terms of POVM. Mathematically, a POVM is a set of operators  $E_i$  where  $i$  is from 1 to  $n$ , so there are  $n$  number of operators such that  $E_i$  for every  $i$  is positive semi-definite for every  $i$  and  $E_i$  sum over  $i$  equals identity. So, these are the only two conditions this set of operators, the operators in this set needs to satisfy that every single one of them should be a positive semi definite operator and they all add up to identity.

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POVM: positive operator valued measurements

→ Set of operators  $\{E_j\}^N$

$E_i \geq 0$   $\forall i$

$\sum_j E_j = I$

→  $\{P_i = |\psi_j\rangle\langle\psi_j|\}$  ;  $P_i \geq 0$   $\forall i$

$\sum_j P_i = \sum_j |\psi_j\rangle\langle\psi_j| = I$

So, if we take examples of projective measurements, so the projected  $P_i$ , we have our  $P_i$ .  $P_i$  in a projective measurement where  $P_i$  forms an orthonormal basis. And so, from here we can see that  $P_i$  are all positive because their eigenvalues are one or zero and when we add  $P_i$  that is sum over  $i$ ,  $P_i$  outer product  $P_i$ , since  $i$  is an orthonormal and complete basis, this has to be identity. So, this becomes a valid measurement and this is one example of the POVM. So, a POVM is a set of operators which satisfy these two conditions. Now, this seems very general at the moment, but we will see how this can be used to perform measurement and how we extract information about the quantum system

using these measurements. So here, of course, we need to talk about the Born rule of probability, how that generalizes for POVM and what is the state after the measurement. So, the probability  $p_i$  of  $i$ th outcome is given by the expectation value of  $E_i$  and that is trace of  $\rho$  times  $E_i$ . Since  $E_i$  is a positive operator we can always write it as  $A_i^\dagger A_i$  or some matrix  $A_i$ , we can appropriately choose  $A_i$ , then these set of  $A_i$ 's become the measurement and the state after collapse goes to  $\rho_i$  and that is  $A_i \rho A_i^\dagger$  over  $p_i$ .

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$$\rightarrow E_i = A_i^\dagger A_i$$

$$\{A_i\} \rightarrow \text{measurement operators} \rightarrow$$

$$\rightarrow \rho \rightarrow \rho_i = \frac{A_i \rho A_i^\dagger}{p_i} \rightarrow (\text{collapse})$$

$$B_i = w_i A_i \quad w_i w_i^\dagger = w_i^\dagger w_i = I$$

$$B_i^\dagger B_i = A_i^\dagger A_i = E_i$$

This is a normalization, so these are the two rules this is the generalized Born rule of probability and this is the collapse of the wave, of the state of the quantum system. Now, one thing to understand here is in POVM we are only given  $E_i$  is not  $A_i$  and we can find another set  $B_i$  which is  $w_i A_i$  where  $w_i w_i^\dagger = w_i^\dagger w_i = I$  equals identity. We can have a unitary matrix or many unitary matrices  $w_i$ , even then we see that  $B_i^\dagger B_i$  is same as  $A_i^\dagger A_i$  which is  $E_i$ . So, for the same set of POVM operators we can have many measurement operators, so the choice of the measurement operator depends on the experiment we are trying to perform. But the outcome, which is in terms of probabilities, they are independent of the measurement operators. As long as we have the same POVM element,  $E_i$ , we are okay and we will get the probabilities, the correct probabilities.

$E_i$ s are called effect. Just the name that  $E_i$ s are called effects. So POVMs have  $N$  effects and each effect will give you a probability of measurement. And from that measurement outcome, you can estimate the states and things like that. Now we will see, we will elaborate over this POVM, but before that, we will talk about a nice theorem. It's called Neumark's dilation theorem. So, what is Neumark's dilation theorem? It says that any POVM can be lifted to projective measurements on an extended Hilbert space.

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Neumark's Dilation Theorem:



→ Any POVM can be lifted to Projective measurements on an extended Hilbert space.

Proof:  $\{E_i\}_{i=1}^N$   $E_i \geq 0$   $\sum_{i=1}^N E_i = I$

→  $E_i \rightarrow$  Rank 1

$E_i = |u_i\rangle\langle u_i|$   $|u_i\rangle \rightarrow$  unnormalized

→  $N \geq \dim(\mathcal{H})$

So, what this Neumark's dilation theorem says is that if we are given any POVM, it means we are given a set of observables  $E_i$ , a set of effects  $E_i$ , then we can always extend the Hilbert space, we can have a bigger Hilbert space, in which this POVM will look like a projective measurement. And since in the axioms of quantum mechanics, only projective measurements are defined and everything else should be derived from the projective measurement, in that way, this theorem becomes a very important theorem. Because here we are saying that ultimately everything is projective, but if we are trying to look at it from a restricted Hilbert space, then it will look like generalised measurements. So how do we prove this thing? So, we are given a set of effects,  $E_i$ s. This is with our POVM, where  $E_i$ s are positive, semi-definite, and sum over  $i$ ,  $E_i$  is identity.

For the sake of simplicity, in this lecture, we will assume that  $E_i$ s are rank one effects. So, what does that mean? That means  $E_i$ , we can write as  $U_i$ , outer product  $U_i$ . Where  $U_i$  is unnormalized. So, we are assuming that the effects are rank one. And they are positive operator. So, rank one positive operator means one eigenvalue will be non-zero and all the other eigenvalues will be zero.

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→  $N \geq \dim(\mathcal{H}) = d$

→  $\mathcal{H}' = \mathcal{H} \oplus \mathcal{H}_{N-d}$

$\dim(\mathcal{H}') = N$

$\dim(\mathcal{H}_{N-d}) = N-d$

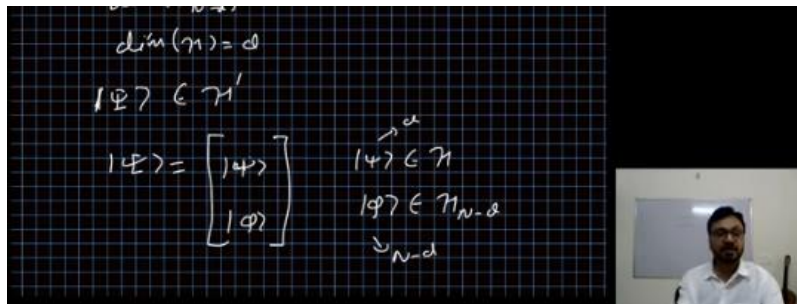
$\dim(\mathcal{H}) = d$



So, the corresponding eigenvector will be  $u_i$ . We are choosing for every  $E_i$  and others we don't care. And the eigenvalue has been absorbed in the normalization of the vector  $u_i$ . That's why  $u_i$  is unnormalized. So, if we are assuming the  $E_i$  is rank 1, then the number of the effects  $N$  is from 1 to  $N$ . So, there are  $N$  number of effects.

So,  $N$  must be greater than or equal to the dimension of the Hilbert space. Because, otherwise they will not add up to identity. The condition, this condition will not be satisfied if they have  $E_i$ s to be rank one and they are less than the dimension of the Hilbert space and the equality only holds when all of  $u_i$ s are going to each other, only then the equality can hold, not will hold, but it can hold when all of them are also going to each other. Now we consider a Hilbert space  $H$  prime okay which is the Hilbert space of the system which we are calling  $H$  and then we add another Hilbert space  $H$  of  $n$  minus  $d$  so the dimension of the Hilbert space  $H$  was  $d$  and we add another Hilbert space of  $n$  minus  $d$  dimension. So the dimension of the Hilbert space  $H$  prime is  $n$ . And the dimension of the Hilbert space  $H$   $n$  minus  $d$  is  $n$  minus  $d$ . And the dimension of  $H$  is  $d$ . So we can see that dimension of  $H$  plus dimension of  $n$  minus  $d$ .  $H$   $n$  minus  $d$  total is  $n$ . So that's the dimension of  $H$  prime. So, what we are trying to say is if there was a vector capital  $\Psi$  from the Hilbert space  $H$  prime, then this can be written as a vector of  $\Psi$ , small  $\psi$  and a small  $\phi$ , where  $\Psi$  belongs to  $H$  and  $\phi$  belongs to  $H$  of  $n$  minus  $d$ .

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It means the dimension of  $\psi$  is  $d$  and the dimension of  $\phi$  is  $n$  minus  $d$ . This is what we mean by this symbol. This is what happens to the vector and we will see what happened to the operator also and this is called direct sum. So, what we have done is we have considered a Hilbert space  $H$  prime which is an extended Hilbert space in which a part of that is the original Hilbert space  $H$  which belongs to the quantum system and another part is the extra Hilbert space we have considered. Now we define operators or vectors  $w_i$

which is  $u_i$  direct sum  $c_i$ . So, what we are saying is  $w_i$  is defined in a way that which is  $u_i$  and  $c_i$  where  $u_i$  belongs to  $H$  and  $c_i$  belongs to  $H$  of  $n$  minus  $d$ . So, if we define a matrix  $W$  which is  $w_1, w_2, w_n$ .

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$$\rightarrow |w_i\rangle = |u_i\rangle \oplus |c_i\rangle = \begin{bmatrix} |u_i\rangle \\ |c_i\rangle \end{bmatrix}$$

$$|u_i\rangle \in \mathcal{H}$$

$$|c_i\rangle \in \mathcal{H}_{N-d}$$

$$W = \begin{bmatrix} |w_1\rangle & |w_2\rangle & \dots & |w_n\rangle \end{bmatrix}$$

Then this is the environment. And if we write it further, it will become  $u_1, u_2, \dots, u_n, c_1, c_2, \dots, c_n$ . What is  $WW^\dagger$ ? It is  $u_1 u_1^\dagger + u_2 u_2^\dagger + \dots + u_n u_n^\dagger + c_1 c_1^\dagger + c_2 c_2^\dagger + \dots + c_n c_n^\dagger$  and which will become we multiply this row with this column we get sum over  $i u_i u_i^\dagger$  and we multiply this with this sum over  $i u_i c_i$  and we have  $c_i u_i$  and  $c_i c_i^\dagger$ . For a moment, just consider this element.

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$$WW^\dagger = \begin{bmatrix} |u_1\rangle & |u_2\rangle & \dots & |u_n\rangle \\ |c_1\rangle & |c_2\rangle & \dots & |c_n\rangle \end{bmatrix} \begin{bmatrix} \langle u_1| & \langle c_1| \\ \langle u_2| & \langle c_2| \\ \vdots & \vdots \\ \langle u_n| & \langle c_n| \end{bmatrix}$$

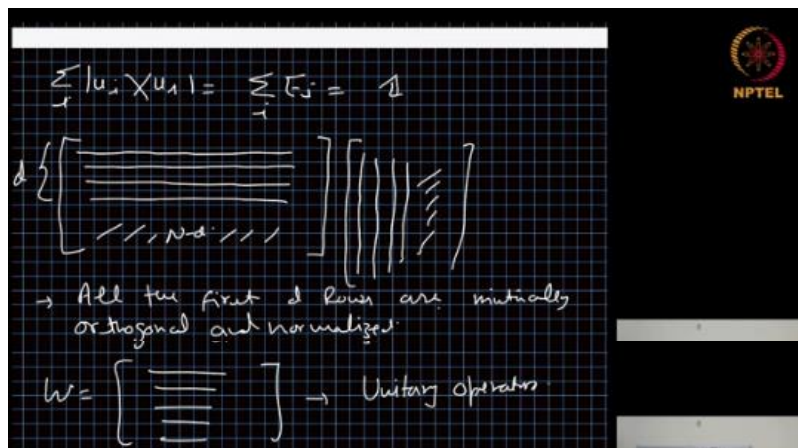
$$= \begin{bmatrix} \sum_i |u_i\rangle \langle u_i| & \sum_i |u_i\rangle \langle c_i| \\ \sum_i |c_i\rangle \langle u_i| & \sum_i |c_i\rangle \langle c_i| \end{bmatrix}$$

And this is nothing but sum over  $i E_i$ , which we know is identity. The condition that the sum over  $i u_i u_i^\dagger$  can be seen from the matrix point of view. We have first  $d$  rows of the

matrix  $W$ . Forget about this last  $n$  minus  $d$  rows. We are only interested in the first  $d$  rows. So, they are represented by the  $u_i$ s and then we multiply them with themselves.

And we get identity. It means all the rows are orthogonal to each other, orthogonal and normalized. All the first  $d$  rows are orthogonal and normalized or mutually orthogonal, let me write here mutually orthogonal and normalized. This is what it means that when we multiply the first row with first column then we get one and you multiply this first row with the second column we get zero and with the third we get zero four we get zero second row with second column we get one but everything else is zero that's how we get identity that this is this is what it shows that all the first  $d$  rows are usually orthogonal to each other they are not well the inner product is coming out to be one. So, we have a matrix  $W$ , where first  $d$  rows are orthogonal and rest  $n$  minus  $d$  rows, we have not decided what they are. They can be anything till now,  $c_i$  vectors are unknown. So, the next  $d$  minus  $n$  minus  $d$  rows are unknown.

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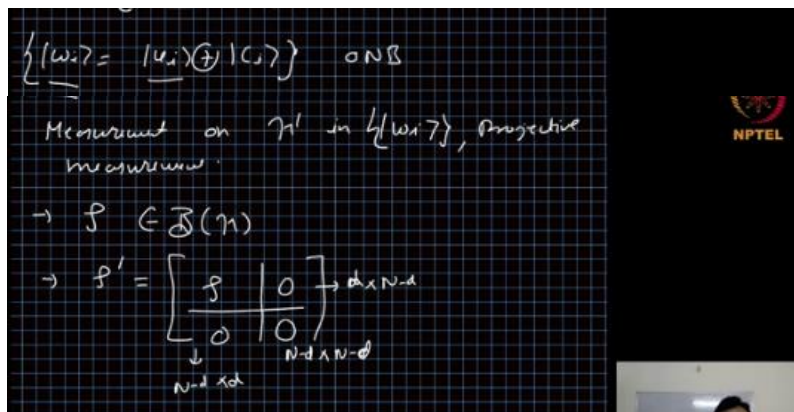


Now, if we have  $n$  dimensional vectors,  $n$  of  $n$  minus,  $n$  dimensional vectors and  $d$  of them are orthogonal. Then by using Gram Schmidt orthogonalization process or by something else we can make the rest of them also orthogonal. So, it's always possible to extend a set of  $d$  orthogonal vectors,  $d$   $n$  dimensional orthogonal vectors to  $n$  dimensional orthogonal vectors so we can always choose the  $n$  minus  $d$  rows which are mutually orthogonal and also orthogonal to the rest  $d$ . In that way, by choosing  $c$  appropriately, we can have  $W$  in which all the rows are orthogonal to each other and normalized. And that is the definition or one of the properties of a unitary operator. So if

you have a matrix in which all the rows are normalized and orthogonal to each other, then it's a unitary operator.

If you have a matrix which has all the columns normalized and orthogonal to each other, then it's a unitary operator. And they can be independently. So, by default, if all the rows are normalized and orthogonal to each other, then the columns will also come out to be normalized and orthogonal to each other. So, in that way, by choosing c's properly, we have defined  $w_i$ , which are  $u_i$  plus appropriately chosen  $c_i$  such that the matrix  $W$  is a unitary matrix. Then the set  $w_i$  represents an orthonormal basis because  $w_i$ s are the columns of the matrix  $w$  and  $w$  is a unitary.

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So, all the columns must be orthogonal to each other. In that way by extending the  $u_i$  vector in  $\mathcal{H}$  to a larger Hilbert space  $\mathcal{H}'$  and getting  $n$  dimensional vectors we have converted it into a part of an orthonormal basis. So now, if we perform measurement on the extended Hilbert space  $\mathcal{H}'$  in the basis  $\omega_i$  then it's the orthonormal basis. Then it is a projective measurement. Now, if we have a state  $\rho$ , which is from the set of, which is from the Hilbert space  $\mathcal{H}$ , then the, the density matrix  $\rho'$  in the extended Hilbert space will look like the following. It will be  $\rho$  which is  $d$  by  $d$ ,  $d$  dimensional,  $0$ ,  $0$  and a  $0$  which is  $n$  minus  $d$  by  $n$  minus  $d$ . So, this  $0$  is  $n$  minus  $d$  by  $n$  minus  $d$ . This is  $d$  by  $n$  minus  $d$ . This is  $n$  minus  $d$  by  $d$ . So, the whole matrix is  $n$  by  $n$  matrix.

So, we have just taken the row and padded it up with zeros to make it an  $n$  by  $n$  square matrix. Now probability  $p_i$  was trace of  $E_i$  times  $\rho$ , traditionally, like in the POVM definition which is for our POVM will be  $u_i$ ,  $\rho u_i$  because  $E_i$  is just a rank 1 projector, rank 1 POVM. Then this is same as  $w_i$   $\rho'$   $w_i$  because  $w_i$  is  $u_i$  direct sum  $c_i$ .  $\rho'$  is  $\rho$ , direct sum zero and we have  $u_i$  direct sum  $c_i$ . Here the product was  $u$  will

multiply with rho with u and c will multiply with 0 with p, so we get  $\langle u_i | \rho | u_i \rangle$ . So the projective measurement in the basis  $w_i$  on an extended state rho prime is same as performing POVM on rho in the restricted Hilbert state. So in that way, we have gotten a mapping from the POVM in H, this is POVM on H to projective measurement on H prime.

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$$\begin{aligned}
 p_i &= \text{Tr}[E_i \rho] = \langle u_i | \rho | u_i \rangle \\
 &= \langle w_i | \rho' | w_i \rangle \\
 &= (\langle u_i | \oplus \langle c_i |) (\rho) (|u_i\rangle \oplus |c_i\rangle) \\
 &= \langle u_i | \rho | u_i \rangle
 \end{aligned}$$

$\{E_i\} \rightarrow \{|w_i\rangle\}$   
 POVM on  $M \quad \downarrow$  Projective on  $M'$

And this is the proof of the Neumark's dilation theorem. Any generalized measurement can be thought of as a projective measurement in an extended Hilbert space. Now, we move on to how we can use POVM to do the state tomography of a quantum system. So, again, let us consider we have a POVM  $E_i$  is from 1 to n. So, there are n elements, n effects in this POVM. Now, and the outcome of a measurement are the  $p_i$ s, which is the expectation value of  $E_i$ , which is trace of  $E_i \rho$ .

Since  $E_i$  is a Hermitian operator, it is a positive operator, so by default it is a Hermitian operator, so it can be written as  $E_i \rho$  dagger rho. So, it is the same,  $E_i \rho$  and  $E_i$  dagger rho are the same because  $E_i$  equals  $E_i \rho$ . Now, if we have the, if we represent rho vector and  $E_i$  vector, the unfolded representation of the matrix rho and  $E_i$ . That is, we take the matrix rho, let us say rho is a 2 by 2 matrix with element a, b, c, d. Then the vector rho is a, b, c, d. Just be careful with the sequence, it is 1, 2, 3, 4, not the other way. So, this becomes the unfolded vector of the matrix rho, corresponding to the matrix rho.

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State tomography using POVM:

$$\rightarrow \{E_i\}_{i=1}^N$$

$$\rightarrow p_i = \langle E_i \rangle = \text{Tr}[E_i \rho] = \text{Tr}[E_i^\dagger \rho]$$

$\rightarrow |\rho\rangle, |E_i\rangle$  as unfolded (let  $\rho, E_i$ )

$$\rho = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \rightarrow |\rho\rangle = \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$


Similarly, we can have unfolded vector corresponding to  $E_i$ . Now, in that way, the probability  $P_i$  can be written as  $E_i$  vector and a rho inner product. Now, if we define a matrix  $x_i$ , which is  $E_1, E_2, E_3$  and so on up to  $E_n$ , then we can write  $x_i$  dagger acting on rho gives us a vector of probabilities  $p_1, p_2$  up to  $p_n$ . And let us see this  $x_i$  matrix is the  $d$  square dimensional by  $n$  dimensional,  $d$  square by  $n$  dimensional matrix. Now probabilities are what we have as the outcome in the experiment and we want to do this is the only information given to us in an experiment and from here we want to see what is the density matrix and that is what we call the state tomography that from the experimental data we retrieve the information about the density matrix now take a simple case where  $n$  equals  $d$  square and  $x_i$  is invertible.


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$$\rightarrow p_i = \langle E_i | \rho \rangle$$

$$E = \begin{bmatrix} |E_1\rangle & |E_2\rangle & \dots & |E_n\rangle \end{bmatrix}_{d^2 \times N}$$

$$E^\dagger |\rho\rangle = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{bmatrix}$$

$\rightarrow N = d^2 \rightarrow E \rightarrow$  invertible.

$$|\rho\rangle = (E^\dagger)^{-1} |b\rangle$$


If that is the case, then we can simply write rho equals  $x_i$  dagger inverse acting on vector  $p$ . So, in that way we get the unfolded vector rho corresponding to the density matrix rho and from here we can calculate the we can reconstruct the density matrix rho. In case  $n$  is more than  $d$  square but still there are  $d$  square at least  $d$  square independent vectors  $e_i$ , at least  $d$  square independent vector  $e_i$  is, in that case we consider the equation again it was  $x_i$  dagger rho equals  $p$ . We can multiply it with  $x_i$  on both side now  $x_i x_i$  dagger will be  $n$

by  $d$  square and  $d$  square by  $n$  times  $n$  by  $d$  square matrix which is  $d$  square by  $d$  square matrix, just, let us see that in the beginning  $n$  was more so the  $x_i$  was a rectangular matrix where the one dimension was bigger than the other. Now both the dimensions are same it's a square matrix and as we assume that there are at least  $d$  square independent  $E_i$ 's in this  $x_i$  matrix so  $x_i x_i^\dagger$  is invertible. And in that case we can write  $\rho$  to be  $x_i x_i^\dagger$  inverse  $x_i p$  and hence we can find the unfolded vector  $\rho$  corresponding to the density matrix  $\rho$  and from there we can reconstruct the density matrix  $\rho$ .

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$$\rightarrow n > d^2 \rightarrow d^2, |E_i\rangle$$

$$E E^T |\rho\rangle = E |\rho\rangle$$

$$E E^T = (d^2 \times N) (N \times d^2) = d^2 \times d^2$$

And this is single shot measurement unlike the measurement we did for qubits like photonic qubits where we had to perform first sigma x measurement then sigma y measurement then sigma z measurement and from there construct the density matrix back. Here if we can find appropriate POVM vectors  $E_i$ 's, from there in the single shot measurement, we will get enough data to construct the density matrix  $\rho$  back. In that way, it can be very, very powerful. We will be considering more examples where the power of POVMs will be revealed over the projective measurements.

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$$E E^T |\rho\rangle = E |\rho\rangle$$

$$E E^T = (d^2 \times N) (N \times d^2) = d^2 \times d^2$$

$$(E E^T) \rightarrow \text{invertible}$$

$$\Rightarrow |\rho\rangle = (E E^T)^{-1} E |\rho\rangle$$