

Nonlinear Adaptive Control
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Systems and Control
Indian Institute of Technology, Bombay
Week 7
Lecture No: 40
Backstepping in Adaptive Control: Parameters Unmatched with Control
Part 2

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Hello, welcome to yet another session of our NPTEL on Nonlinear and Adaptive Control. I am Srikant Sukumar from Systems and Control IIT Bombay. So we are well into the seventh week of our course. And we have this very nice and interesting background image of this satellite from Space X orbiting the Earth. And we are well underway into learning how to desired algorithms that will drive systems such as these.

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2 Backstepping: Parameter Unmatched with Control

Consider the double integrator (of different type) system dynamics given as follows:

$$\dot{x}_1 = x_2 \quad (2.1)$$

$$\dot{x}_2 = u \quad (2.2)$$

where, $x_1, x_2, u \in \mathbb{R}$ and $f: \mathbb{R} \rightarrow \mathbb{R}$. In this case, the parameter is unmatched with control (different from the previous case) i.e., the unknown parameter does not appear in the same dynamics as the control.

Objective is to drive $x_1 \rightarrow 0$ and $x_2 \rightarrow 0$ stabilization.

2.1 Known Parameter Case

Handwritten note: if $f(0) \neq 0$ then $(x_1, x_2) = (0, 0)$ not an equilibrium.

So, what we were doing last time was it we had started discussing the backstepping approach for the unmatched parameter case, in this case, what we have is that the unknown parameter appears in the state where there is no control. And we actually started with a known parameter case. So, in order to simplify the treatment, we just had stabilization as the objective that is, we just want both states to go to 0. But as we had stated last time, this does not really change anything, if you want the states to in fact, track a reference trajectory. So, we started off with the known parameter cases always.

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$V_2 = (x_2 - x_{2d})(u - \dot{x}_{2d})$

Let us consider the control law $u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$ for some $k_2 > 0$. The overall candidate Lyapunov function is chosen as the following:

where F_3

$$V = V_1 + V_2$$

$$\dot{V} = x_1 \dot{x}_1 - k_2(x_2 - x_{2d})^2$$

$$= x_1 x_2 + x_1 \theta f(x_1) - k_2(x_2 - x_{2d})^2$$

$$= x_1 x_2 + x_1(-x_{2d} - k_1 x_1) - k_2(x_2 - x_{2d})^2 = -k_1 x_1^2 - k_2(x_2 - x_{2d})^2$$

$$\leq -(k_1 - \frac{1}{2})x_1^2 - (k_2 - \frac{1}{2})(x_2 - x_{2d})^2$$

$\Rightarrow \dot{V} < 0 \quad \forall k_1, k_2 > \frac{1}{2}$

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Handwritten notes: Jacobian matrix $J = \begin{pmatrix} -k_1 & -0.2I \\ 0 & 0 \end{pmatrix}$ with $\frac{\partial}{\partial x_1}$ and $\frac{\partial}{\partial x_2}$ terms. $x_{2d} = -k_1 x_1 - \theta f(x_1)$ and $\theta f(x_1) = -k_1 x_1 - x_{2d}$. A note 'sum of squares' points to the inequality.

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so, $\dot{V}_2 = (x_2 - x_{2d})(u - \dot{x}_{2d})$

$$u = \begin{pmatrix} -k_1 - \theta f(x_1) \\ -k_2(x_2 - x_{2d}) \end{pmatrix} \begin{matrix} \dot{x}_1 \\ (x_2 + \theta f(x_1)) \end{matrix} - k_2(x_2 - x_{2d})$$

Let us consider the control law $u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$ for some $k_2 > 0$. The overall candidate Lyapunov function is chosen as the following:

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$$\leq -(k_1 - \frac{1}{2})x_1^2 - (k_2 - \frac{1}{2})(x_2 - x_{2d})^2$$

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$$\leq -(k_1 - \frac{1}{2})x_1^2 - (k_2 - \frac{1}{2})(x_2 - x_{2d})^2$$

$\Rightarrow \dot{V} < 0 \quad \forall k_1, k_2 > \frac{1}{2}$

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which from the Lyapunov theorems proves that $x_1 \rightarrow 0$ and $x_2 \rightarrow x_{2d}$. Now, $x_{2d} = -k_1 x_1 - \theta f(x_1)$ and from the facts that $x_1 \rightarrow 0$ and $f(0) = 0$, we have that $x_{2d} \rightarrow 0$ and hence $x_2 \rightarrow 0$.

This completes the proof.

2.2 Unknown Parameter Case

First Control:

$\hat{x}_1 = x_2 + \theta f(x_1)$ \rightarrow pseudo-control





Lemma 7.3

$$\begin{aligned}
 V &= V_1 + V_2 \\
 \dot{V} &= x_1 \dot{x}_1 - k_2(x_2 - x_{2d})^2 \\
 &= x_1 x_2 + x_1 \theta f(x_1) - k_2(x_2 - x_{2d})^2 \\
 &= x_1 x_2 + x_1(-x_{2d} - k_1 x_1) - k_2(x_2 - x_{2d})^2 = -k_1 x_1^2 - k_2(x_2 - x_{2d})^2 + x_1(x_2 - x_{2d}) \\
 &\leq -(k_1 - \frac{1}{2})x_1^2 - (k_2 - \frac{1}{2})(x_2 - x_{2d})^2 \stackrel{\text{sum of squares}}{\leq} \frac{1}{2}x_1^2 + \frac{1}{2}(x_2 - x_{2d})^2 \\
 \Rightarrow \dot{V} &< 0 \quad \forall k_1, k_2 > \frac{1}{2}
 \end{aligned}$$

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which from the Lyapunov theorems proves that $x_1 \rightarrow 0$ and $x_2 \rightarrow x_{2d}$. Now, $x_{2d} = -k_1 x_1 - \theta f(x_1)$ and from the facts that $x_1 \rightarrow 0$ and $f(0) = 0$, we have that x_{2d} and hence $x_2 \rightarrow 0$.

This completes the proof.

2.2 Unknown Parameter Case

First Control: $\tilde{x}_1 = x_2 + \theta f(x_1)$ → pseudo-control

Objective is to drive $x_1 \rightarrow 0$ and $x_2 \rightarrow 0$ stabilization.

2.1 Known Parameter Case

In order to design a controller for the above system we can use the classical backstepping approach. To ensure $x_1 \rightarrow 0$, let us assume x_2 to be the control and choose, $x_2 = x_{2d} = -k_1 x_1 - \theta f(x_1)$ which will give $\dot{x}_1 = -k_1 x_1$ where $k_1 > 0$. Thus we can guarantee convergence of $x_1 \rightarrow 0$. We assume that $f(0) = 0$. Consider $V_1(\cdot)$ and $V_2(\cdot)$ as follows:

$$V_1 = \frac{1}{2}x_1^2, \quad V_2 = \frac{1}{2}(x_2 - x_{2d})^2$$

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And what we did first was that in the known parameter case, so I am sorry, let me go back here. In the known parameter case, we of course constructed these 2 Lyapunov of candidate functions for the first state and the second state, where x_2 desired is of course, the desired state corresponding to the first one.

So, basically, after we did this, we took a derivative and we are able to show that V_2 dot looks something like this and for the known case, of course, we can prescribe this kind of a law, we can prescribe this kind of a law. And in fact, we in fact, also computed the derivative and that is we computed the derivative of x_2 desired and the control expression looks something like this.

And we can see that because of \dot{x}_1 , there is a theta in the control expression also. But since theta is known, we are treating the known case. So, this is not a problem we implement this control and we can do our Lyapunov analysis with V equal to V1 plus V2 and then we are left with once we apply the control and we get to somehow this stage, we are actually left with the 2 nice negative quadratic terms and one mixed, and then we use the standard sum of squares method, which I hope by now, we have all understood very well.

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where f_3

$$V = V_1 + V_2$$

$$\dot{V} = x_1 \dot{x}_1 - k_2(x_2 - x_{2d})^2$$

$$= x_1 x_2 + x_1 \theta f(x_1) - k_2(x_2 - x_{2d})^2$$

$$= x_1 x_2 + x_1(-x_{2d} - k_1 x_1) - k_2(x_2 - x_{2d})^2 = -k_1 x_1^2 - k_2(x_2 - x_{2d})^2 + x_1(x_2 - x_{2d})$$

$$\leq -\left(k_1 - \frac{1}{2}\right)x_1^2 - \left(k_2 - \frac{1}{2}\right)(x_2 - x_{2d})^2 \leq -\frac{1}{2}x_1^2 + \frac{1}{2}(x_2 - x_{2d})^2$$

$$\Rightarrow \dot{V} < 0 \quad \forall k_1, k_2 > \frac{1}{2}$$

which from the Lyapunov theorems proves that $x_1 \rightarrow 0$ and $x_2 \rightarrow x_{2d}$. Now, $x_{2d} = -k_1 x_1 - \theta f(x_1)$ and from the facts that $x_1 \rightarrow 0$ and $f(0) = 0$, we have that x_{2d} and hence $x_2 \rightarrow 0$.

This completes the proof.

2.2 Unknown Parameter Case

First Control:

$\bar{x}_1 = x_2 + \theta f(x_1)$

\Rightarrow pseudo-control

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where f_3

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$$\leq -\left(k_1 - \frac{1}{2}\right)x_1^2 - \left(k_2 - \frac{1}{2}\right)(x_2 - x_{2d})^2 \leq -\frac{1}{2}x_1^2 + \frac{1}{2}(x_2 - x_{2d})^2$$

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To get you this expression here. So, from inequality here, we get to an inequality here, and we can then claim that V dot is negative definite if k1 and k2 are greater than half. So, with this sort of analysis, of course, we can use the standard Lyapunov theorem to claim that all the states are stable at the origin and of course, reaching the origin asymptotically. So, that is

global uniform asymptotic stability and in fact, in this kind of specific situation, global exponential stability.

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$$= x_1 x_2 + x_1(-x_{2d} - k_1 x_1) - k_2(x_2 - x_{2d})^2 = -k_1 x_1^2 - k_2(x_2 - x_{2d})^2 + x_1(x_2 - x_{2d})$$

$$\leq -\left(k_1 - \frac{1}{2}\right)x_1^2 - \left(k_2 - \frac{1}{2}\right)(x_2 - x_{2d})^2 \leq -\frac{1}{2}x_1^2 - \frac{1}{2}(x_2 - x_{2d})^2$$

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$$x_{2d} = -k_1 x_1 - \theta f(x_1)$$
 if $x_2 = x_{2d}$

$$\tilde{x}_1 = x_2 + \theta f(x_1)$$

so, $\dot{V}_2 = (x_2 - x_{2d})(u - \dot{x}_{2d})$

$$u = \left(-k_1 - \theta \frac{\partial f}{\partial x_1} \right) x_1 - k_2(x_2 - x_{2d}) + \dot{x}_{2d}$$

Let us consider the control law $u = -x_{2d} - k_2(x_2 - x_{2d}) + \dot{x}_{2d}$ for some $k_2 > 0$. The overall candidate Lyapunov function is chosen as the following:

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So, we of course, with this you can prove that the modified state that is the states of the backstepping, which is x_1 goes to 0 and x_2 goes to x_2 desired and with the expression of x_2 desired and the assumption that $f(0) = 0$, which we already said that was a reasonable assumption, you get that x_1 also, sorry, x_2 also goes to 0 because x_2 desired also goes to 0.

Now, when we went to the unknown case, this sort of expression of the control created a problem. Because there is a theta there is a theta and so on and so forth. So, this is not the entire approach is not viable anymore. So we have to start with a different approach.

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which from the Lyapunov theorems proves that $x_1 \rightarrow 0$ and $x_2 \rightarrow x_{2d}$. Now, $x_{2d} = -k_1 x_1 - \theta f(x_1)$ and from the facts that $x_1 \rightarrow 0$ and $f(0) = 0$, we have that x_{2d} and hence $x_2 \rightarrow 0$.

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$$x_{2d} = -k_1 x_1 - \hat{\theta} f(x_1)$$

if $x_2 = x_{2d}$

$$\dot{x}_1 = -k_1 x_1 - \tilde{\theta} f(x_1), \quad \dot{\tilde{\theta}} = \theta - \hat{\theta}$$

Handwritten notes:
 $\tilde{x}_1 = x_2 + \theta f(x_1)$ (with arrow pointing to "pseudo-control")
 $x_{2d} = -k_1 x_1 - \hat{\theta} f(x_1)$ (circled)

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pseudo-control
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 $\tilde{x}_1 = x_2 + \theta f(x_1)$

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And what was that? We, in fact, specify the desired x_2 with a θ hat. This is still certainly equivalence, but starting with the pseudo control itself, so x_2 was the pseudo control. And at the pseudo control level itself. I have to use a estimate because that is where the unknown parameter in fact appears. And, so if x_2 were in fact x_2 desired, then you have this sort of a x_1 dot equation, with θ tilde being the parameter error.

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Lyapunov Function:

$$V_1 = \frac{1}{2}x_1^2 + \frac{1}{2\gamma}\hat{\theta}^2, \quad \gamma > 0$$

$$\dot{V}_1 = x_1\dot{x}_1 - \frac{1}{\gamma}\hat{\theta}\dot{\theta}$$

$$= x_1(-k_1x_1 + \hat{\theta}f(x_1)) - \frac{1}{\gamma}\hat{\theta}\dot{\theta}$$

$$= -k_1x_1^2 + \hat{\theta}(x_1f(x_1) - \frac{\dot{\theta}}{\gamma})$$

First Update:

$$\dot{\theta} = \gamma x_1 f(x_1)$$

$$\Rightarrow \dot{V}_1 = -k_1x_1^2 \leq 0$$

exactly negative in the math



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Lyapunov Function:

$$V_1 = \frac{1}{2}x_1^2 + \frac{1}{2\gamma}\hat{\theta}^2, \quad \gamma > 0$$

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Lyapunov Function:

Assuming $\gamma_2 = \text{odd}$

$$V_1 = \frac{1}{2}x_1^2 + \frac{1}{2\gamma}\hat{\theta}^2, \quad \gamma > 0$$

$$\dot{V}_1 = x_1\dot{x}_1 - \frac{1}{\gamma}\hat{\theta}\dot{\theta}$$

$$= x_1(-k_1x_1 + \hat{\theta}f(x_1)) - \frac{1}{\gamma}\hat{\theta}\dot{\theta}$$

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exactly negative definite in the math



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$$\begin{aligned} \dot{V}_1 &= x_1 \dot{x}_1 - \frac{1}{\gamma} \dot{\tilde{\theta}} \dot{\hat{\theta}} \\ &= x_1 (-k_1 x_1 + \tilde{\theta} f(x_1)) - \frac{1}{\gamma} \dot{\tilde{\theta}} \dot{\hat{\theta}} \\ &= -k_1 x_1^2 + \tilde{\theta} (x_1 f(x_1) - \frac{\dot{\hat{\theta}}}{\gamma}) \end{aligned}$$

First Update:

$$\dot{\hat{\theta}} = \gamma x_1 f(x_1)$$

$$\Rightarrow \dot{V}_1 = -k_1 x_1^2 \leq 0$$

Lyapunov Function:

$$V_2 = \frac{1}{2} (x_2 - x_{2d})^2$$

$$\dot{V}_2 = (x_2 - x_{2d})(\dot{x}_2 - \dot{x}_{2d})$$

Want to Choose $\dot{x}_2 = \dot{x}_{2d} - \frac{\dot{\hat{\theta}}}{\gamma} f(x_1)$

exactly negative definite in the matched case

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$$\begin{aligned} \dot{V}_1 &= x_1 \dot{x}_1 - \frac{1}{\gamma} \dot{\tilde{\theta}} \dot{\hat{\theta}} \\ &= x_1 (-k_1 x_1 + \tilde{\theta} f(x_1)) - \frac{1}{\gamma} \dot{\tilde{\theta}} \dot{\hat{\theta}} \\ &= -k_1 x_1^2 + \tilde{\theta} (x_1 f(x_1) - \frac{\dot{\hat{\theta}}}{\gamma}) \end{aligned}$$

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$$V_2 = \frac{1}{2} (x_2 - x_{2d})^2$$

$$\dot{V}_2 = (x_2 - x_{2d})(\dot{x}_2 - \dot{x}_{2d})$$

Want to Choose $\dot{x}_2 = \dot{x}_{2d} - \frac{\dot{\hat{\theta}}}{\gamma} f(x_1)$

exactly negative definite in the matched case

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So now, we, of course, propose this kind of Lyapunov function for the first state. So the first state itself contains the theta tilde squared. And we do an analysis. This is again, assuming that x_2 is exactly equal to x_{2d} . So we already, this should be clear.

Assuming x_2 is identical to x_{2d} . So this is, of course, a big assumption. This is just a pseudo control not the real control. But of course, everything goes through because we choose a theta tilde, theta hat dot, which sort of cancels this term. So that is what we do. And then we get a \dot{V}_1 , which is negative semi definite. So on the other end of the known case, this was actually negative definite, correct. So here, we already have an unknown parameter. Therefore, the derivative is \dot{V}_1 is negative semi definite. Although the expression is again the same. All assuming that the pseudo control is in fact, the real control.

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Adaptive_Control_Week7

AdaptiveNPTel-background x IEEE_WorkShop_Slides... x Adaptive_Control_Week6 x Adaptive_Control_Week7 x 2019ARC x IPR-2021

$$= -k_1 x_1^2 + \hat{\theta}(x_1 f(x_1) - \frac{\theta}{\gamma})$$

First Update:

$$\dot{\hat{\theta}} = \gamma x_1 f(x_1)$$

$$\Rightarrow \dot{V}_1 = -k_1 x_1^2 \leq 0$$

exactly negative definite in the marked case

Lyapunov Function:

$$V_2 = \frac{1}{2}(x_2 - x_{2d})^2$$

$$\dot{V}_2 = (x_2 - x_{2d})(\dot{x}_2 - \dot{x}_{2d})$$

Want to Choose $\dot{x}_2 = u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$ $\rightarrow -\frac{\partial f}{\partial x_1}(x_1)$

$$\dot{x}_{2d} = -k_1 \hat{x}_1 - \gamma x_1 f^2(x_1) - \hat{\theta} f(x_1)$$

$$= -k_1(x_2 + \theta f(x_1)) - \gamma x_1 f^2(x_1) - \hat{\theta} f(x_1)$$




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Adaptive_Control_Week7

AdaptiveNPTel-background x IEEE_WorkShop_Slides... x Adaptive_Control_Week6 x Adaptive_Control_Week7 x 2019ARC x IPR-2021

$$\dot{\hat{\theta}} = \gamma x_1 f(x_1)$$

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$$= -(k_1 + \frac{\partial f}{\partial x_1})(x_2 + \theta f(x_1)) - \gamma x_1 f^2(x_1)$$

cannot NOT be implemented because we have to solve with estimate of CE



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Lyapunov Function:

$$V_2 = \frac{1}{2}(x_2 - x_{2d})^2$$

$$\dot{V}_2 = (x_2 - x_{2d})(\dot{x}_2 - \dot{x}_{2d})$$

Want to Choose $\dot{x}_2 = u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$ → $-\hat{\theta} \frac{\partial f}{\partial x_1}(x_1)$

$$\dot{x}_{2d} = -k_1 \dot{x}_1 - \gamma x_1 f^2(x_1) - \hat{\theta} \frac{\partial f}{\partial x_1}(x_1)$$

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control u is NOT implementable: solve with estimate $\hat{\theta}$ cannot use θ already specified!

Srikant Sukumar

Adapti





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Adaptive_Control_Week7

Lyapunov Function:

$$V_2 = \frac{1}{2}(x_2 - x_{2d})^2$$

$$\dot{V}_2 = (x_2 - x_{2d})(\dot{x}_2 - \dot{x}_{2d})$$

Want to Choose $\dot{x}_2 = u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$ → $-\hat{\theta} \frac{\partial f}{\partial x_1}(x_1)$

$$\dot{x}_{2d} = -k_1 \dot{x}_1 - \gamma x_1 f^2(x_1) - \hat{\theta} \frac{\partial f}{\partial x_1}(x_1)$$

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control u is NOT implementable: solve with estimate $\hat{\theta}$ cannot use θ already specified!

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Adapti





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Adaptive_Control_Week7

Lyapunov Function:

$$V_2 = \frac{1}{2}(x_2 - x_{2d})^2$$

$$\dot{V}_2 = (x_2 - x_{2d})(\dot{x}_2 - \dot{x}_{2d})$$

Want to Choose $\dot{x}_2 = u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$ → $-\hat{\theta} \frac{\partial f}{\partial x_1}(x_1)$

$$\dot{x}_{2d} = -k_1 \dot{x}_1 - \gamma x_1 f^2(x_1) - \hat{\theta} \frac{\partial f}{\partial x_1}(x_1)$$

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control u is NOT implementable: solve with estimate $\hat{\theta}$ cannot use θ already specified!

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Adapti





Then we go to the second state, where V_2 is the same, we have not changed V_2 , so V_1 changes, but V_2 has not changed yet, I would use the word yet. We get V_2 dot to be the same in the control, we want to prescribe the same.

So, we want to choose, but then what happens we compute the expression. So now, the expression is slightly different, of course, because x_2 desired contains θ hat and not θ itself.

So, let us look at x_2 desired dot, so this expression is basically θ hat dot $f x_1$. And so basically, it is $-\dot{k}_1 x_1 - \theta$ hat $f x_1$, so it is just the derivative of $-\dot{k}_1 x_1$ which is $-\dot{k}_1 x_2$ and derivative of θ hat $f x_1$ using the product rule. So that is what we get 2 terms, and the derivative of the first with respect to the first term and then a derivative with respect to the second term.

When we take the derivative with respect to the second term, you again get an x_1 dot. We already had an x_1 dot here, and we get an x_1 dot here too. And that is what we club this and this gets clubbed here this and this term gets clubbed to give me this term, and this is of course, this term, so these are the 2 distinct terms. Now it is easy to see that this is of course, an implementable term, no problem, but then x_1 dot contains the unknown again.

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Adaptive_Control_Week7

AdaptiveControl-bground x IEEE_Workshop_Slides... x Adaptive_Control_Week6 x Adaptive_Control_Week7 x 2019ARC x IPR-2021

Lyapunov Function:

$$V_2 = \frac{1}{2}(x_2 - x_{2d})^2$$

$$\dot{V}_2 = (x_2 - x_{2d})(\dot{x}_2 - \dot{x}_{2d})$$

Want to Choose $\dot{x}_2 = u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$ $\rightarrow -\hat{\theta}f(x_1)$

$$\dot{x}_{2d} = -k_1\dot{x}_1 - \gamma x_1 f^2(x_1) - \hat{\theta}f(x_1)$$

$$= -k_1(x_2 + \theta f(x_1)) - \gamma x_1 f^2(x_1) - \hat{\theta}f(x_1)$$

$$= -(k_1 + \hat{\theta} \frac{\partial f}{\partial x_1}) \dot{x}_1 - \gamma x_1 f^2(x_1)$$

$$= -(k_1 + \hat{\theta} \frac{\partial f}{\partial x_1})(x_2 + \theta f(x_1)) - \gamma x_1 f^2(x_1)$$

control u is NOT implementable. \rightarrow solve with estimate of θ cannot use $\hat{\theta}$ already specified!

7

Adaptive



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Adaptive_Control_Week7

AdaptiveControl-bground x IEEE_Workshop_Slides... x Adaptive_Control_Week6 x Adaptive_Control_Week7 x 2019ARC x IPR-2021

Choose $\dot{x}_2 = u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$ $\rightarrow -\hat{\theta}f(x_1)$

$$\dot{x}_{2d} = -k_1\dot{x}_1 - \gamma x_1 f^2(x_1) - \hat{\theta}f(x_1)$$

$$= -k_1(x_2 + \theta f(x_1)) - \gamma x_1 f^2(x_1) - \hat{\theta}f(x_1)$$

$$= -(k_1 + \hat{\theta} \frac{\partial f}{\partial x_1}) \dot{x}_1 - \gamma x_1 f^2(x_1)$$

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control u is NOT implementable. \rightarrow solve with estimate of θ cannot use $\hat{\theta}$ already specified!

7

Adaptive Co

Try with $\hat{\theta}$ in u and $V = V_1 + V_2$



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Lyapunov Function:

$$V_1 = \frac{1}{2}x_1^2 + \frac{1}{\gamma}\tilde{\theta}^2, \gamma > 0$$

$$\dot{V}_1 = x_1\dot{x}_1 - \frac{1}{\gamma}\dot{\theta}\tilde{\theta}$$

$$= x_1(-k_1x_1 + \tilde{\theta}f(x_1)) - \frac{1}{\gamma}\dot{\theta}\tilde{\theta}$$

$$= -k_1x_1^2 + \tilde{\theta}(x_1f(x_1) - \frac{\dot{\theta}}{\gamma})$$

First Update:

$$\dot{\theta} = \gamma x_1 f(x_1)$$

$$\Rightarrow \dot{V}_1 = -k_1x_1^2 \leq 0$$

Lyapunov Function:

assuming $x_2 = 0$

exactly negative definite in the matched case




So, this is again implementable, but the \dot{x}_1 , which is this guy contains the unknown theta, So, now this control is still not implemented. So, what we choose as control u , which is the actual control is not implementable. So, of course, we want to replace its CE estimate, now, we cannot replace it with a theta hat, I encourage you to do this, like try this erroneous step of trying to replace this with theta hat itself. And then carrying out Lyapunov analysis.

How would you carry out a Lyapunov analysis? You would simply I would say, So, what I want to say is try with theta hat in u here, and V equals V_1 plus V_2 . So, and because, of course, you remember that V already contains, a theta V_1 already contains a theta tilde term.

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$$\dot{V}_1 = x_1\dot{x}_1 - \frac{1}{\gamma}\dot{\theta}\tilde{\theta}$$

$$= x_1(-k_1x_1 + \tilde{\theta}f(x_1)) - \frac{1}{\gamma}\dot{\theta}\tilde{\theta}$$

$$= -k_1x_1^2 + \tilde{\theta}(x_1f(x_1) - \frac{\dot{\theta}}{\gamma})$$

First Update:

$$\dot{\theta} = \gamma x_1 f(x_1)$$

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Lyapunov Function:

$$V_2 = \frac{1}{2}(x_2 - x_{2d})^2$$

$$\dot{V}_2 = (x_2 - x_{2d})(\dot{x}_2 - \dot{x}_{2d})$$

Want to Choose $\dot{x}_2 = u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$

Want to

$-\hat{\theta}f(x_1)$

$\hat{\theta}$

exactly negative definite in the matched case




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Lyapunov Function:

$$V_2 = \frac{1}{2}(x_2 - x_{2d})^2$$

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$$= -(k_1 + \hat{\theta} \frac{\partial f}{\partial x_1})(x_2 + \theta f(x_1)) - \gamma x_1 f^2(x_1)$$

Handwritten notes:
 Try with $\hat{\theta}$ in V_2 and $V_2 = V_1 + V_2$
 control is NOT implementable
 use $\hat{\theta}$ already specified!
 cannot cancel CE
 solve with $\hat{\theta}$

Srikant Sukumar Adaptive



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Lyapunov Function:

Assuming $x_2 = \theta d$

$$V_1 = \frac{1}{2}x_1^2 + \frac{1}{2\gamma}\hat{\theta}^2, \quad \gamma > 0$$

$$\dot{V}_1 = x_1\dot{x}_1 - \frac{1}{\gamma}\hat{\theta}\dot{\theta}$$

$$= x_1(-k_1x_1 + \hat{\theta}f(x_1)) - \frac{1}{\gamma}\hat{\theta}\dot{\theta}$$

$$= -k_1x_1^2 - \hat{\theta}(x_1f(x_1) - \frac{\dot{\theta}}{\gamma})$$

First Update:

$$\dot{\theta} = \gamma x_1 f(x_1)$$

$$\Rightarrow \dot{V}_1 = -k_1x_1^2 \leq 0$$

Handwritten notes:
 exactly negative definite in the marked case

Lyapunov Function:



So, what is the problem, the problem that occurs is that you already chosen a theta hat dot. And because of that, you will see that this term will create a theta tilde term of course, because you instead of giving a putting a theta here, we will put a theta hat and this creates a theta tilde term, which you cannot cancel anymore, because the theta tilde term you got already cancelled by the theta hat dot earlier. So, there is no more terms remaining to be used to cancel this error.

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Adaptive Control Week 7

NPTEL

Systems & Control

Lecture 7.4

Here, \dot{x}_{2d} contains θ which is unknown, so we cannot implement \dot{x}_{2d} . We choose an estimate of \dot{x}_{2d} , where θ is replaced by a new estimate $\hat{\mu}$.

$$\begin{aligned}\dot{\hat{x}}_{2d} &= -(k_1 + \hat{\theta} \frac{\partial f}{\partial x_1})(x_2 + \hat{\mu} f(x_1)) - \gamma x_1 f^2(x_1) \\ &= \dot{x}_{2d} + \hat{\mu} f(x_1) (k_1 + \hat{\theta} \frac{\partial f}{\partial x_1})\end{aligned}$$

where $\tilde{\mu} = \theta - \hat{\mu}$. Notice there is one parameter and two estimates of that parameter (overparametrization).

Overall Lyapunov Function:

$$V_1 = \frac{1}{2} x_1^2 + \frac{1}{2\gamma} \tilde{\mu}^2$$


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Adaptive Control Week 7

NPTEL

Systems & Control

Lecture 7.4

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where $\tilde{\mu} = \theta - \hat{\mu}$. Notice there is one parameter and two estimates of that parameter (overparametrization).

Overall Lyapunov Function:

$$V_1 = \frac{1}{2} x_1^2 + \frac{1}{2\gamma} \tilde{\mu}^2$$


So, how do we resolve this issue? That is where we come to in lecture. In today's lecture, which is lecture 7.4. I know this was a rather longish introduction into the previous lecture, but since this is slightly more involved, I wanted to repeat what we did. So the steps are simple, they may be confusing for you in the beginning, but if you revise it, it is actually simple steps. Just a little bit more bookkeeping, all we are doing a little bit more careful bookkeeping here.

So, let us look at this. So, x_2 desired dot contains a, theta of course, we cannot implement this. So, we replace theta with a new estimate. Because like I said the old estimate and please feel free to try in fact, I encourage you to try the old estimate, you will always be left with one term which in theta tilde, which you cannot cancel anymore, because you are left with no

more terms from the V_1 dot that we can be used to cancel because you already chose a θ hat.

So, we use a new estimate μ is, so μ hat. So, the new estimate μ hat replaces the θ . And of course, when you basically try to write it in terms of the earlier x_2 desired, so, we call it x_2 desired hat, x_2 desired hat dot, if you replace in terms of earlier x_2 desired, this becomes x_2 desired dot plus this μ tilde times this term. So, it is just because there was a θ here. So, there was a θ here, instead of the θ we put in the μ hat and μ tilde is defined as θ minus μ hat. So, this is simply that term. So this term multiplied by this term μ tilde. So, this was a real x_2 desired dot.

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where $\hat{\mu} = \theta - \mu$. Notice there is one parameter and two estimates of that parameter (overparametrization).

Overall Lyapunov Function:

$$V_1 = \frac{1}{2}x_1^2 + \frac{1}{2\gamma}\hat{\theta}^2$$

$$V_2 = \frac{1}{2}(x_2 - x_{2d})^2 + \frac{1}{2\sigma}\hat{\mu}^2$$

$\frac{1}{2\sigma}\hat{\mu}^2$ in V_2 corresponds to the overestimation term.

$$V = V_1 + V_2$$

$$\dot{V} = x_1x_2 + x_1\theta f(x_1) - \frac{1}{\gamma}\hat{\theta}\gamma x_1 f(x_1) + (x_2 - x_{2d})(u - \dot{x}_{2d}) - \frac{1}{\sigma}\hat{\mu}\dot{\hat{\mu}}$$




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where $\hat{\mu} = \theta - \mu$. Notice there is one parameter and two estimates of that parameter (overparametrization).

Overall Lyapunov Function:

$$V_1 = \frac{1}{2}x_1^2 + \frac{1}{2\gamma}\hat{\theta}^2$$

$$V_2 = \frac{1}{2}(x_2 - x_{2d})^2 + \frac{1}{2\sigma}\hat{\mu}^2$$

$\frac{1}{2\sigma}\hat{\mu}^2$ in V_2 corresponds to the overestimation term.

$$V = V_1 + V_2$$

$$\dot{V} = x_1x_2 + x_1\theta f(x_1) - \frac{1}{\gamma}\hat{\theta}\gamma x_1 f(x_1) + (x_2 - x_{2d})(u - \dot{x}_{2d}) - \frac{1}{\sigma}\hat{\mu}\dot{\hat{\mu}}$$




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Lyapunov Function:

$$V_2 = \frac{1}{2}(x_2 - x_{2d})^2$$

$$\dot{V}_2 = (x_2 - x_{2d})(\dot{x}_2 - \dot{x}_{2d})$$

Want to Choose $\dot{x}_2 = u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$

$$\dot{x}_{2d} = -k_1 \dot{x}_1 - \gamma x_1 f^2(x_1) - \hat{\theta} f(x_1)$$

$$= -k_1(x_2 + \theta f(x_1)) - \gamma x_1 f^2(x_1) - \hat{\theta} f(x_1)$$

$$= -(k_1 + \hat{\theta} \frac{\partial f}{\partial x_1}) x_1 - \gamma x_1 f^2(x_1)$$

$$= -(k_1 + \hat{\theta} \frac{\partial f}{\partial x_1})(x_2 + \theta f(x_1)) - \gamma x_1 f^2(x_1)$$

Try with $\hat{\theta}$ in u and $V_2 = V_1 + V_2$

Control is NOT implementable as $\hat{\theta}$ is not available. $\hat{\theta}$ is already specified! $\hat{\theta}$ is not available. $\hat{\theta}$ is already specified!

Srikant Sukumar Adaptive

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$$V_1 = \frac{1}{2} x_1^2 + \frac{1}{2\gamma} \hat{\theta}^2$$

$$V_2 = \frac{1}{2} (x_2 - x_{2d})^2 + \frac{1}{2\sigma} \tilde{\mu}^2$$

$\frac{1}{2\sigma} \tilde{\mu}^2$ in V_2 corresponds to the overestimation term.

overparameterization.

$$\dot{\hat{\theta}} = -\hat{\theta} = \sigma x_1 f(x_1)$$

$$\dot{x}_2 = u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$$

$$\dot{x}_{2d} = -k_1 x_1 - \hat{\theta} f(x_1)$$

$$u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$$

$$= \dot{x}_{2d} + \tilde{\mu} f(x_1) - k_2(x_2 - x_{2d})$$

$\frac{\partial \hat{\theta}}{\partial x_1} = -\tilde{\theta} x_1 f(x_1)$

Srikant Sukumar Adaptive

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$$V_2 = \frac{1}{2} (x_2 - x_{2d})^2 + \frac{1}{2\sigma} \tilde{\mu}^2$$

$\frac{1}{2\sigma} \tilde{\mu}^2$ in V_2 corresponds to the overestimation term.

overparameterization.

$$\dot{\hat{\theta}} = -\hat{\theta} = \sigma x_1 f(x_1)$$

$$\dot{x}_2 = u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$$

$$\dot{x}_{2d} = -k_1 x_1 - \hat{\theta} f(x_1)$$

$$u = \dot{x}_{2d} - k_2(x_2 - x_{2d})$$

$$= \dot{x}_{2d} + \tilde{\mu} f(x_1) - k_2(x_2 - x_{2d})$$

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Lyapunov Function:

$$V_2 = \frac{1}{2}(x_2 - x_{2d})^2$$

$$\dot{V}_2 = (x_2 - x_{2d})(\dot{x}_2 - \dot{x}_{2d})$$

Want to choose $\dot{x}_2 = u = \hat{\theta} f(x_1)$

$$\dot{x}_{2d} = -k_1 \dot{x}_1 - \gamma x_1 f^2(x_1) - \hat{\theta} f(x_1)$$

$$= -k_1(x_2 + \theta f(x_1)) - \gamma x_1 f^2(x_1) - \hat{\theta} f(x_1)$$

$$= -(k_1 + \hat{\theta} \frac{\partial f}{\partial x_1}) \dot{x}_1 - \gamma x_1 f^2(x_1)$$

$$= -(k_1 + \hat{\theta} \frac{\partial f}{\partial x_1})(x_2 + \theta f(x_1)) - \gamma x_1 f^2(x_1)$$

Handwritten notes: "Try with $\hat{\theta}$ in u and $V = V_1 + V_2$ ", "control is NOT implementable because $\hat{\theta}$ cannot estimate CE", "use $\hat{\theta}$ already specified!", "7", "Adaptive"

So now, we choose a different V_2 . And instead of choosing it as just this term, we add a term corresponding to the parameter error. So for the same parameter, what do we want to do? We are creating another Lyapunov candidate function. So, for this special unknown case situation, we are creating a different Lyapunov function, create different Lyapunov function.

Now, this was already there, nothing new here, the new term is here. Why? Because we introduce a new estimate for the same parameter theta. So, this is what is mentioned here, $\frac{1}{2} \sigma \mu \delta$ square in V_2 corresponds to the over estimation term. So, this is known as overestimation term, so or over parameterization. So, we also use the term over parameterization. What does it mean? It means that I use the multiple estimates for the same parameter. So, this is called over parameterization. So, now we carefully do this analysis, let us carefully do analysis.

Let us look at V as V_1 plus V_2 and compute the \dot{V} . Now, remember earlier \dot{V}_1 was computed assuming that x_2 is in fact equal to x_2 desired. Now, we cannot do that. So, I am going to write what is \dot{x}_1 ? \dot{x}_1 dot is x_2 plus theta f x_1 and \dot{x}_2 dot is u and what did we choose as u , u was, let us see, u is this, u is not exactly this guy, u is not this guy. u is in fact, \dot{x}_2 hat desired dot.

So, basically let me carefully write all the terms here. So, that we have everything at our disposal, everything at our disposal. So, we have x_2 desired as equal to minus $k_1 x_1$ minus theta hat f x_1 and we have u is equal to \dot{x}_2 desired hat dot minus $k_2 x_2$ minus x_2 desired minus $k_2 x_2$ minus x_2 desired and this \dot{x}_2 hat desired dot is nothing but \dot{x}_2 desired dot plus μ tilde.

I am simply copying from here $f(x_1, k_1) + \theta \frac{\partial f}{\partial x_1}$. So, all these things. We have several ingredients here. So, we have several ingredients here I have copied all of them. So, u is in terms of the \hat{x}_2 desired dot and \hat{x}_2 desired dot is in terms of x_2 desired dot. So, this is $-k_2 x_2 - x_2$ desired. So, this \hat{x}_2 desired, this guy and $-k_2 x_2 - x_2$ desired is this guy, x_2 desired itself is this.

So, now, I take the derivatives diligently complete the analysis. So, V_1 dot has $x_1 \dot{x}_1$ which is $x_1 \dot{x}_2$ and this term which is, I forgot to write 1 which is I believe the $\theta \dot{\theta}$ which is $\gamma x_1 f(x_1)$. So, $\theta \dot{\theta}$, $\theta \dot{\theta} = -\dot{\theta}$ equals $\gamma x_1 f(x_1)$.

So, $\gamma x_1 f(x_1)$, so, this is what we have, So, V_1 dot is $x_1 \dot{x}_1$ which is $x_1 \dot{x}_2$ and this term gives me $\dot{\theta}$, $\dot{\theta}$ by γ which is $\dot{\theta} \times x_1 f(x_1)$. So, this is actually $\dot{\theta} \times f_1$, $x_1 f(x_1)$ this is what I get from V_1 dot. Let us see I am trying to wonder, wait a second this is θ , so, I believe this term gives me let me write this out this term gives me in V dot $\dot{\theta}$, $\dot{\theta}$ divided by γ and I think we are fine here, since just $\theta \dot{\theta}$ is $-\dot{\theta} x_1 f(x_1) - \dot{\theta}$ so this should be equal to $-\dot{\theta} x_1 f(x_1)$.

Let us see what is there in the next step $x_1 \dot{x}_1$ actually gives me $x_1 \dot{x}_2$ plus $x_1 \theta f(x_1)$ this is correct. And this term gives me. So, this is where there is a fault, this should be, not, this should not be there $-\dot{\theta}$. This actually cancels out. So, these 2 terms actually cancel out, this is fine. This is absolutely. This is written in a more complicated way than I expected.

So, the first 2 terms are just $x_1 \dot{x}_1$ and this term is $\dot{\theta}$, $\dot{\theta}$ this term is of course, from the second term $x_2 - x_2$ desired $u - x_2$ dot from here and the last term is this. So, this is the only piece that we have not selected yet. So this is absolutely okay.

(Refer Slide Time: 19:25)

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Second Control:

$$u = \dot{x}_{2d} - k_2(x_2 - x_{2d}) = \dot{x}_{2d} + \hat{\mu}f(x_1)(k_1 + \hat{\theta}\frac{\partial f}{\partial x_1}) - k_2(x_2 - x_{2d})$$

$$\dot{V} = x_1(x_2 - x_{2d}) + x_1x_{2d} + \theta x_1 f(x_1) - \hat{\theta} x_1 f(x_1) - k_2(x_2 - x_{2d})^2$$

$$+ \hat{\mu}(x_2 - x_{2d})f(x_1)(k_1 + \hat{\theta}\frac{\partial f}{\partial x_1}) - \frac{1}{\sigma}\hat{\mu}\dot{\mu}$$

$$= -k_1x_1^2 - k_2(x_2 - x_{2d})^2 + x_1(x_2 - x_{2d})$$

$$\leq -(k_1 - \frac{1}{2})x_1^2 - (k_2 - \frac{1}{2})(x_2 - x_{2d})^2$$

The last inequality is obtained from sum of squares method.

Second Update:

Handwritten notes: $u_1 = x_1 \hat{\theta} f(x_1)$ (with arrow pointing to $\theta x_1 f(x_1)$), \rightarrow cancels (with arrow pointing to $-\hat{\theta} x_1 f(x_1)$)



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$$u = \dot{x}_{2d} - k_2(x_2 - x_{2d}) = \dot{x}_{2d} + \hat{\mu}f(x_1)(k_1 + \hat{\theta}\frac{\partial f}{\partial x_1}) - k_2(x_2 - x_{2d})$$

$$\dot{V} = x_1(x_2 - x_{2d}) + x_1x_{2d} + \theta x_1 f(x_1) - \hat{\theta} x_1 f(x_1) - k_2(x_2 - x_{2d})^2$$

$$+ \hat{\mu}(x_2 - x_{2d})f(x_1)(k_1 + \hat{\theta}\frac{\partial f}{\partial x_1}) - \frac{1}{\sigma}\hat{\mu}\dot{\mu}$$

$$= -k_1x_1^2 - k_2(x_2 - x_{2d})^2 + x_1(x_2 - x_{2d})$$

$$\leq -(k_1 - \frac{1}{2})x_1^2 - (k_2 - \frac{1}{2})(x_2 - x_{2d})^2$$

The last inequality is obtained from sum of squares method.

Second Update:

$$\dot{\mu} = \sigma(x_2 - x_{2d})f(x_1)(k_1 + \hat{\theta}\frac{\partial f}{\partial x_1})$$

Hence, by signal chasing $x_1, x_2 \rightarrow 0$ and $x_2 \rightarrow x_{2d}, \dot{x}_2 \rightarrow \dot{x}_{2d}$. We have $x_2 = \dots$

Handwritten notes: $\dot{\mu} = \sigma(x_2 - x_{2d})f(x_1)(k_1 + \hat{\theta}\frac{\partial f}{\partial x_1})$ (circled in red)



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$$+ \hat{\mu}(x_2 - x_{2d})f(x_1)(k_1 + \hat{\theta}\frac{\partial f}{\partial x_1}) - \frac{1}{\sigma}\hat{\mu}\dot{\mu}$$

$$= -k_1x_1^2 - k_2(x_2 - x_{2d})^2 + x_1(x_2 - x_{2d})$$

$$\leq -(k_1 - \frac{1}{2})x_1^2 - (k_2 - \frac{1}{2})(x_2 - x_{2d})^2$$

$$\leq 0$$

The last inequality is obtained from sum of squares method.

Second Update:

$$\dot{\mu} = \sigma(x_2 - x_{2d})f(x_1)(k_1 + \hat{\theta}\frac{\partial f}{\partial x_1})$$

Hence, by signal chasing $x_1, x_2 \rightarrow 0$ and $x_2 \rightarrow x_{2d}, \dot{x}_2 \rightarrow \dot{x}_{2d}$. We have $x_2 = \dots$
so when $x_1 \rightarrow 0$ and $f(0) = 0$, implies $x_2 \rightarrow 0$. Thus, stabilization and tracking

Handwritten notes: $\dot{\mu} = \sigma(x_2 - x_{2d})f(x_1)(k_1 + \hat{\theta}\frac{\partial f}{\partial x_1})$ (circled in red), \rightarrow sum of squares (with arrow pointing to the inequality), $\leq \frac{1}{2}x_1^2 + \frac{1}{2}(x_2 - x_{2d})^2$



So, now, if I go to the next step and of course, I already wrote the expression for u , which is in terms of \dot{x}_2 , \dot{x}_{2d} and that is this entire expression. So, what you get is first what I do is I write x_2 in terms of the x_{2d} . So if I write x_2 in terms of the x_{2d} . What do I get? Please sorry, not x_2 in terms of the x_{2d} , but in terms of the error.

So, basically what I will do is I will write this guy $x_1 \dot{x}_2$ as $x_1 \dot{x}_2 - \dot{x}_{2d} x_1 + x_1 \dot{x}_{2d}$. So, this is just $x_1 \dot{x}_2$ and this is just from $x_1 \dot{\theta}$ of x_1 just the dynamics and this is again from the update law. And this term now, $-k_2 x_2 - \dot{x}_{2d}$ is because of this term. So, now everything else is this term, this term. So, all of this so, this term gives me this term all right then let me see if I can change colors then this term gives me this term.

And this term will cancel out, because there is an \dot{x}_{2d} . So, that term the first term in fact cancels out. So, this is cancels, so this term in fact cancels out. So, that is it and then I am left with this guy which is yet to be chosen which is yet to be chosen. So, this is just this is coming from this with just $x_2 - x_{2d}$ multiplied this is coming from this with just $x_2 - x_{2d}$ multiplied because of the Lyapunov function derivatives.

Now, if I look here, if I look at this $x_1 \dot{x}_{2d}$. So, what is $x_1 \dot{x}_{2d}$? Actually \dot{x}_{2d} is this. So, $x_1 \dot{x}_{2d}$ is, if I expand this term. It is $-k_1 x_1^2 + x_1 \hat{\theta} f(x_1)$. So I get a from $x_1 \dot{x}_{2d}$, I get a $-k_1 x_1^2$. And this $x_1 \hat{\theta} f(x_1)$ cancels this guy.

Because $\theta - \tilde{\theta}$ is $\theta - \hat{\theta}$. So I think this is fine. I hope the signs are okay. Sorry, this this, sorry that is what I am wondering. This should be a negative. Now, now this negative will cancel $\theta - \tilde{\theta} x_1 f(x_1)$, which is actually equal to $\hat{\theta} f(x_1)$.

x_1 . So this is actually equal to $\theta \hat{f}(x_1)$, so this cancels with this. So you get this minus $k_1 x_1$ squared left from here. So this just leaves this much. And that is it. And then this is a nice mixed term x_1 and x_2 minus x_2 desired and then there is a nice negative quadratic term in this if, if I choose my μ cap dot, as σ times x_2 minus x_2 desired $f(x_1)$ k_1 plus θ , $\frac{\partial f}{\partial x_1}$.

So, if I make this choice, this term and this term cancel out so all I am left with is this nice negative term here, nice negative term here from this guy, and then this mixed term here, because everything else just cancels out.

And then of, then what? So, that is what is sort of written here, you see this is the update law $\sigma(x_2 - x_{2d}) f(x_1)$, k_1 plus $\theta \frac{\partial f}{\partial x_1}$. This is exactly what is left here as you would expect, and from here to here, of course, this is sum of squares. Again, we are already used to this I hope. So this term is simply less than equal to half x_1 squared plus half x_2 minus x_2 desired squared. This is just using a b is less than equal to a squared plus b squared. So there is the standard sum of squares.

We do this again and again. So I hope you are used to it. So once you get to this, that if k_1 and k_2 are greater than half, you have this. You know what a nice negative semi definiteness, only negative semi definite as of course, because now θ tilde and μ tilde also states are 2 more states. So obviously, this is not negative definite, it is only negative semi definite.

(Refer Slide Time: 25:39)

The slide shows a mathematical derivation. At the top, there is a handwritten inequality: $\leq -(k_1 - \frac{1}{2})x_1^2 - (k_2 - \frac{1}{2})(x_2 - x_{2d})^2$. A red arrow points to the right-hand side of this inequality, with the handwritten note "sum of squares". Below this, another handwritten inequality is shown: $\leq \frac{1}{2}x_1^2 + \frac{1}{2}(x_2 - x_{2d})^2$. The text below the equations states: "The last inequality is obtained from sum of squares method." Below this, it says "Second Update:" followed by the equation $\dot{\mu} = \sigma(x_2 - x_{2d})f(x_1) + \theta \frac{\partial f}{\partial x_1}$. The text continues: "Hence, by signal chasing $x_1 \rightarrow 0$ and $x_2 \rightarrow x_{2d}$, $\dot{x}_2 \rightarrow \dot{x}_{2d}$. We have $x_2 = -k_1 x_1 - \hat{\theta} f(x_1)$, so when $x_1 \rightarrow 0$ and $f(0) = 0$, implies $x_2 \rightarrow 0$. Thus, stabilization and tracking is achieved." At the bottom left, there is a note: "Note: $f(x_1)$ is chosen deliberately as only a function of first state. It would be difficult to analyse stability and tracking with $f(x_1, x_2)$." In the bottom right corner, there is a small video inset showing a man in a red shirt.

6:02 PM Fri 13 May

Adaptive_Control_Week7

The last inequality is obtained from sum of squares method.

Second Update:

$$\dot{\mu} = \sigma(x_2 - x_{2d})f(x_1)(k_1 + \hat{\theta} \frac{\partial f}{\partial x_1})$$

Hence, by signal chasing $x_1 \rightarrow 0$ and $x_2 \rightarrow x_{2d}$, $\dot{x}_2 \rightarrow \dot{x}_{2d}$. We have $x_2 = -k_1 x_1 - \hat{\theta} f(x_1)$, so when $x_1 \rightarrow 0$ and $f(0) = 0$, implies $x_2 \rightarrow 0$. Thus, stabilization and tracking is achieved.

Note: $f(x_1)$ is chosen deliberately as only a function of first state. It would become very difficult to analyse stability and tracking with $f(x_1, x_2)$.

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Adaptive_Control_Week7

Hence, by signal chasing $x_1 \rightarrow 0$ and $x_2 \rightarrow x_{2d}$, $\dot{x}_2 \rightarrow \dot{x}_{2d}$. We have $x_2 = -k_1 x_1 - \hat{\theta} f(x_1)$, so when $x_1 \rightarrow 0$ and $f(0) = 0$, implies $x_2 \rightarrow 0$. Thus, stabilization and tracking is achieved.

Note: $f(x_1)$ is chosen deliberately as only a function of first state. It would become very difficult to analyse stability and tracking with $f(x_1, x_2)$.

also possible $x_1 \rightarrow 0$ $x_2 \rightarrow x_{2d}$
 $\dot{x}_1 \rightarrow 0$ $\dot{x}_2 = \dot{x}_{2d} + \frac{\partial f(x_1)}{\partial x_1}$

But, you know very well that I can use signal chasing and Barbalat's lemma to prove that x_1 and x_2 , in fact, go to 0, sorry, we can prove that x_1 goes to 0 and x_2 goes to x_2 desired. So let me let me be very careful here, let us see. Wait a second, we can prove x_1 goes to 0. So, not this yet. And we can prove x_2 goes to x_2 desired. And, we can also prove x_2 desired \dot{x}_2 goes to \dot{x}_{2d} , so, what we have is that x_2 is this guy. So if x_1 goes to 0, and f_0 is going to 0, so this is actually x_2 desired. So if x_1 is going to 0 as we already proved. So I apologize, give me a second, there is some misbehavior from the pen.

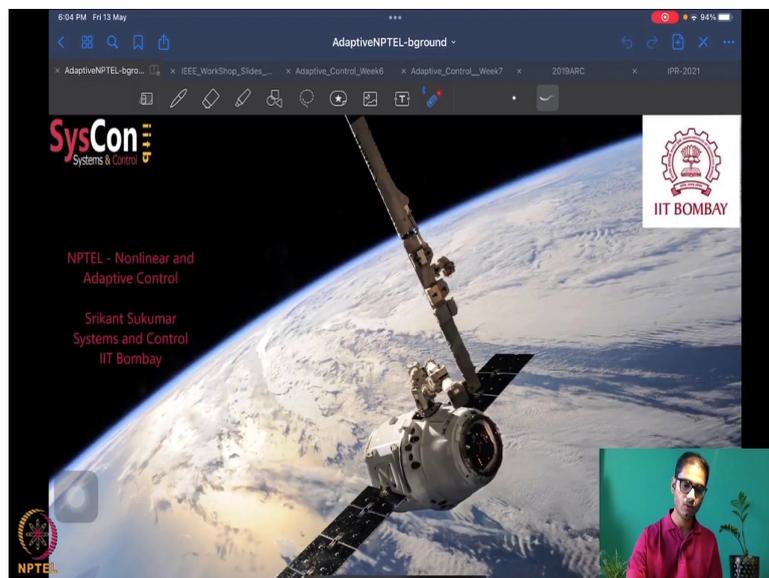
So we already have x_1 going to 0 directly from here by using signal chasing and Barbalat's lemma, and we have x_2 going to x_2 desired, and the derivative is also convergent, this is standard. So you have x_1 going to 0. And we also have x_2 going to x_2 desired. Now we look at x_2 desired more carefully. So, what happens to x_2 desired and I know that as t goes to

infinity x_1 goes to 0. And further if I assume that $f(0, 0)$, like before, a reasonable assumption, then I also have this term going to 0. So I have the whole thing going to 0. I have the whole thing going to 0. So this is fine.

I believe you can also show also possible via another route. So you can show x_1 goes to 0, x_2 goes to x_2 desired and I believe you can also show \dot{x}_1 goes to 0. And from here, \dot{x}_1 is equal to x_2 plus $\theta f(x_1)$. And if x_1 is going to 0, and this is going to 0, because x_1 is going to 0, then this also has to go to 0. So, this is another way of going to 0, both identical, does not matter.

So in this case, one of the caveats is that the function f is deliberately chosen to be a function of the first state only if this does not happen. Doing stability analysis will be very difficult. And you can also see that you are saying that $f(x_1)$, $f(0)$ is 0 and things like that. So if it was a function of both the states then you have to talk about x_2 also being 0 and things like that. So this is a rather complicated situation, if you have a function of both states is again something that is more of a well, I mean, there will be a much more tougher exercise and research exercise and may or may not work out for all cases.

(Refer Slide Time: 29:34)



So, what did we see today? We have essentially seen how to complete the stability proofs and in fact, adaptive control design for this unmatched parameter case. This of course, turned out to be way more involved. We had to do over parameterization we had to introduce a second estimate, and in the Lyapunov function also you notice that we have 2 more 2 additional

terms one due theta tilde, another due to mu tilde. So this was an over parameterization. And the analysis itself was a little bit more involved than before.

But since the problem is also more involved, this is of course reasonable. And you can see and also start to think that the Ortega type construction that worked for the matched case you cannot actually use in the unmatched case. So, that you understand that the Lyapunov function now is significantly more complicated and more involved. So, backstepping is the way that you will have to go for the more complicated cases. So, this is where we stop today. And I see you again in the next session. Thanks.