

**Point Set Topology**  
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**Week 06**  
**Lecture 27**

This is lecture 27 and before we start a discussion on compact metric spaces, we will see a nice application of what we have seen so far. So, today we will prove a very interesting theorem. So,  $SO(n)$  is connected. In fact,  $SO(n)$  is also path connected but that takes a little bit more work and so in this course we will prove that  $SO(n)$  is connected. Before we start the proof, it is perhaps worth appreciating that the definition of  $SO(n)$  is somewhat complicated. So, recall that  $SO(n)$  is all those matrices  $A$  in  $M(n, \mathbb{R})$  such that  $A^T A$  is equal to identity and determinant of  $A$  is 1.

So, to show that if you were to try and show directly that  $SO(n)$  is path connected then it would probably be quite hard. Let us begin the proof. So, first consider this map from  $SO(n)$  to the sphere  $S^{n-1}$ . Before that so let  $E_1$  in  $\mathbb{R}^n$  denote the column vector  $(1, 0, 0, \dots)$ .

Okay, and consider the map from  $SO(n)$  to  $S^{n-1}$  given by, we take a matrix  $A$ , let us say  $n \geq 2$ . So, we take a matrix  $A$  and it gets mapped to  $A \cdot E_1$ . So, we are taking this matrix  $A$  in  $SO(n)$  and this is getting sent to the first column.

So, a-priori it is not clear that the image lands in  $S^{n-1}$ , but let us just check that. So,  $A \cdot E_1$ , so we need to check like a-priori, this is a map from  $SO(n)$  to  $\mathbb{R}^n$ , and we need to check the image lands in  $S^{n-1}$ . So,  $SO(n)$  has the subspace topology from  $M(n, \mathbb{R})$  and this is just a projection on some coordinates. So, therefore this map is continuous. So, this map is continuous.

Let us compute the norm of this  $A \cdot E_1$ . The  $\langle A \cdot E_1, A \cdot E_1 \rangle$  this is equal to, so this is the standard inner product on  $\mathbb{R}^n$  given by  $\langle V, W \rangle$  is defined to be  $W^T V$ . When we use this, so we get this inner product is equal to  $(A \cdot E_1)^T (A \cdot E_1)$ , which is equal to  $E_1^T A^T A E_1$ , but now  $A$  is in  $SO(n)$ , which means  $A^T A$  is identity. So, this is equal to  $E_1^T E_1$ , which is just equal to 1. So, this implies that the image lands in  $S^{n-1}$ .

The norm of this vector  $A \cdot E_1$  is 1. So, we have this  $SO(n)$ , we have this continuous map to  $\mathbb{R}^n$  and the image actually lands inside  $S^{n-1}$  and since  $S^{n-1}$  has a subspace topology from  $\mathbb{R}^n$ , this implies that this map we have defined the map from  $SO(n)$  to  $S^{n-1}$  is continuous. Next, let us consider the subgroup  $H$  equal to  $SO(n-1)$  sitting inside  $SO(n)$  as follows: 1, 0's here, 0's here and here we have this matrix  $SO(n-1)$ . We claim that  $A \cdot E_1$  is equal to  $B \cdot E_1$ . So, if you call this map  $\phi$ , so what we are saying is  $\phi(A)$  is equal

to  $\phi(B)$  if and only if  $B^{-1}A$  is in  $H$ .

So, let us check this. So, if  $B^{-1}A$  is in  $H$ , so this implies that  $B^{-1}A$  is equal to  $h$  for some  $h$  in  $H$ . This implies that  $A$  is equal to  $Bh$  simply by multiplying on the left with  $B$ . So, now we apply both these on  $E_1$ . This implies that  $A E_1$  is equal to  $B h E_1$ , but now note that  $h E_1$  is simply equal to  $E_1$ , because when we apply this matrix of this type on  $E_1$ , we get back  $E_1$ .

So, this implies  $A E_1$  is equal to  $B E_1$ . So, now let us prove the converse. Conversely, suppose  $A E_1$  is equal to  $B E_1$ , then multiplying with  $B^{-1}$  on both sides, then this implies that  $B^{-1}A E_1$  is equal to  $E_1$ . So, we let  $C$  be the matrix  $B^{-1}A$ . So, then  $C E_1$  is equal to  $E_1$ .

This implies that  $C$  is a matrix which looks like this. So,  $C E_1$  is equal to  $E_1$ , which means the first column looks like this and the others can be anything. Now, as  $A$  and  $B$  are in  $SO(n)$ , this implies  $B^{-1}A$  is equal to  $C$ , is also in  $SO(n)$ . And from the condition  $C^T C$  is equal to identity. So, if we write  $C$  as  $[C_1, C_2, \dots, C_n]$ , So, this  $C^T C$  is equal to identity. This becomes  $[C_1^T, C_2^T, \dots, C_n^T]$  into this column  $[C_1, C_2, \dots, C_n]$  is equal to identity. So, this implies  $C_i^T C_j$  is equal to 0 for  $i$  not equal to  $j$ . So, if we now, but this  $C_i^T C_j$  that is just the inner product  $C_i$  and  $C_j$ . This implies that  $\langle C_j, C_1 \rangle$  is equal to 0 for  $j$  not equal to 1. But  $C_1$  is this column vector  $(1, 0, 0, \dots)$ . So,  $C_1$  is this column and let us take  $C_2$ ,  $C_2$  will be this column. So, when we take  $\langle C_1, C_2 \rangle$ , we get this first entry over here. So, since the inner product is 0, this implies that this entry will be 0, and similarly this entry will be 0 and similarly all these entries will be 0. So, as  $C_1$  is this, from this we conclude that  $C$ , this matrix looks like this and here we have whatever else. This implies that  $C$  belongs to  $H$ .

So, thus  $B^{-1}A$  belongs to  $H$  which is what we want to prove. Next we claim that the map from  $SO(n)$  to  $S^{n-1}$  is surjective. Recall that given a vector  $v$  with  $\|v\|=1$ . So,  $v$  is in  $\mathbb{R}^n$ , we may extend it to an orthonormal basis  $V = \{v_1, v_2, \dots, v_n\}$  of  $\mathbb{R}^n$ . And so now let  $A$  be equal to matrix  $v_1$ , we write these vectors as column vectors and we let this matrix  $A$  is an  $n \times n$  matrix. Then clearly this matrix  $A^T A$  is going to be equal to this matrix obtained by taking the inner products of  $v_i$  and  $v_j$ . This is an easy check. And this is clearly equal to identity because the  $v_i$ 's form an orthonormal basis. If determinant of  $A$  is equal to -1, then we simply replace the last column by negative of that,

then that  $A'$  be the matrix  $[v_1, v_2, \dots, -v_n]$

So, then we easily check that  $A'^T A'$  is equal to identity and determinant of  $A'$  is equal to 1. So, moreover, the first column of  $A'$  is  $v_1$  is equal to  $v$ . So, this proves that this  $\phi$  is surjective. And we need one more ingredient, so let us look at that. So, if  $A$  is in  $SO(n)$ , then consider the map from  $SO(n)$  to  $SO(n)$ , this is given by left translation by elements of  $A$ .

So, precisely this map sends a matrix  $B$  in  $SO(n)$  to  $A*B$ . It is easily checked that if  $A$  and  $B$  are in  $SO(n)$ , then obviously  $A*B$  is in  $SO(n)$  if  $SO(n)$  is subgroup of  $GL(n, \mathbb{R})$ . So, let us check that this map is continuous. To show this map is continuous, note that  $SO(n)$  has a subspace topology from  $M(n, \mathbb{R})$ . So, it is enough to check that this  $L_A$ , after we compose with this inclusion, is continuous.

This composite is continuous, but this composite map, this dotted arrow, it factors like this  $M(n, \mathbb{R})$  to  $L_A$ . So, both these triangles commute, we can take this matrix  $A$ , this matrix  $A$  is fixed, and we can define left multiplication by  $A$  on  $M(n, \mathbb{R})$  itself. Here also it is  $A$  goes to  $A$ , but clearly this map is continuous because all the coordinates, they are just some linear combinations of the coordinates of  $B$ , are simply linear combinations of the coordinates of  $B$ . So, therefore this horizontal map is continuous. As a result when we look at this map, that is continuous because we have just restricted this continuous map to  $SO(n)$ , which means the dotted arrow is continuous which means the map  $L_A$  which we started with, that is continuous.

Similarly,  $L_{A^{-1}}$ , let me just write. So, let us call these maps  $a, b, c, L_A$ , let us call this  $L_{A\sim}$ . So, to show  $L_A$  is continuous, it is enough to show  $boL_A$  is continuous, but it is enough to show  $boL_A$  is continuous because  $SO(n)$  has a subspace topology from  $M(n, \mathbb{R})$ , but  $boL_A$  is equal to this dotted arrow  $a$ , which is equal to  $L_{A\sim} \circ c$ , and  $L_{A\sim}$  is continuous because  $L_{A\sim}$ , it is enough to check what it the coordinate functions of  $L_{A\sim}$  are continuous and  $c$  is just a restriction of  $L_{A\sim}$  to  $SO(n)$ , this implies  $L_{A\sim} \circ c$  is continuous. So, this implies  $L_A$  is continuous. Similarly  $L_{A^{-1}}$  is continuous, but clearly we have  $L_A \circ L_{A^{-1}}$  is equal to identity, which is also equal to  $L_{A^{-1}} \circ L_A$ .

Thus we have  $L_A$  is continuous and  $L_A$  is a bijective continuous map and it is invertible, it is clear  $L_{A^{-1}}$  and that is also continuous here so thus  $L_A$  is a homeomorphism ok. So, now with these ingredients we are ready to prove that  $SO(n)$  is connected. We will prove the theorem by induction on  $m$ . So, the base case is  $n=1$ . In this case  $SO(1)$  is simply, this is one element which is obviously connected.

Assume we have proved that  $n \geq 2$ , and we have proved that  $SO(k)$  is connected for, ..., sorry. So, let us assume that  $n \geq 1$  for  $1 \leq k \leq n$ .

So, now we will show that  $SO(n+1)$  is connected. So, consider the map  $SO(n+1)$  to  $S^n$ . Note that as  $n \geq 1$ , we have  $n+1 \geq 2$ . So, therefore we can consider this map which we analyzed before. So, if  $SO(n+1)$  is disconnected then we can write it as the disjoint union of two nonempty disjoint open sets, and are also closed. So, let us pick any element of  $SO(n+1)$ , and let  $H$  be the subgroup  $SO(n)$  contained inside  $SO(n+1)$ .

So,  $A$  is in  $SO(n+1)$ . So,  $A$  is either in  $U$  or it is in  $V$ . Assume that  $A$  belongs to  $U$ . Then we can take this subset  $AH$ , and we can write it as  $AH \cap U$  disjoint union  $AH \cap V$ . As  $L_A$  from  $SO(n+1)$  to  $SO(n+1)$  is a homeomorphism, and we have  $H$  sitting over here, with  $L_A$  is sent to  $AH$  sitting over here.

So,  $L_A$  is a homeomorphism. So, therefore it is a homeomorphism, this implies that  $L_A$  restricted to  $H$ , this is map from  $AH$  to  $AH$  is also a homeomorphism. So and as  $H$  is connected,  $H$  is homeomorphic to  $SO(n)$ , and we are assuming by induction that  $SO(n)$  is connected. As  $H$  is connected and  $AH$  is homeomorphic to  $H$ , this implies that  $AH$  is also connected. So, thus one of these two open sets has to be empty, but  $A$  belongs to this set. This implies that this set over here has to be empty, or in other words  $AH$  is completely contained inside  $U$ .

So, we have proved that if  $A$  belongs to  $U$  then  $AH$  is completely contained inside  $U$ . If  $B$  belongs to  $V$  then  $BH$  is completely contained inside it. Now let us consider this map from  $SO(n+1)$  to  $S^n$ . So,  $U$  is closed and  $SO(n+1)$  is compact implies  $U$  is compact, which implies  $\phi(U)$  is compact in  $S^n$ , which implies that  $\phi(U)$  is closed.

So, similarly  $\phi(V)$  is also closed. So, as  $\phi$  is surjective, this implies  $S^n$  is equal to  $\phi(U)$  union  $\phi(V)$ . So, we claim that this union is disjoint. If not there exists some vector  $v$  in  $\phi(U) \cap \phi(V)$ . This implies that there exists  $A$  in  $U$  and  $B$  in  $V$  such that  $\phi(A)$  is equal to  $A \cdot e_1$ , is equal to  $v$ , is equal to  $B \cdot e_1$  is equal to  $\phi(B)$ . But now we know that if this happens, this will imply that  $B^{-1} \cdot A$  belongs to  $H$ , which implies that  $A$  belongs to  $BH$  and since  $B$  belongs to  $V$   $BH$  is contained in  $U$ , contained in  $V$ , which is a contradiction, because we assumed that  $A$  is in  $U$  and  $U$  and  $V$  are disjoint.

Thus we have written if  $SO(n+1)$  is disconnected then  $S^n$  is going to be  $\phi(U)$  disjoint union  $\phi(V)$ . We can write it as a disjoint union of nonempty closed subsets, which means it will be also be the disjoint union of nonempty open subsets which means  $S^n$  will be disconnected. But this contradicts, what we have seen before that  $S^n$  is connected with the fact that  $S^n$  is connected. So, thus  $SO(n+1)$  is connected.

So, this proves, this completes the theorem. So, we will end here.