

Structural Biology
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Lecture - 23
X-Ray Crystallography - Phase Problem - Part 1

Hi everyone, welcome again to the course on structural biology. We are continuing with the structural biology techniques. We are talking about X-ray crystallography. And today, we are going to discuss the phase problem, the Patterson function.

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The slide features a central title 'X-Ray Crystallography' in red. Below it, a list of topics is presented in red text: 'Phase Problem, Patterson Function, How to solve phase problem, Heavy atom replacement methods, Isomorphous replacement, Anomalous dispersion'. The slide is decorated with four images: a colorful 3D molecular model in the top-left, a schematic of an X-ray diffraction experiment in the top-center, a diffraction pattern in the top-right, and a 3D molecular model in the bottom-right. A blue 3D molecular model is also visible on the left side of the slide.

How to solve the phase problem? Heavy atom replacement methods to solve phase problems and some techniques relating to heavy atom replacements are isomorphous replacement, anomalous dispersions, etc.

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Important Formulas to remember for our future understanding:

$$\Phi = 2\pi (h x + k y + l z) \quad \text{Formula 1}$$

$$|F(hkl)| = \sqrt{\left[\sum_j f_j \cos 2\pi(hx_j + ky_j + lz_j) \right]^2 + \left[\sum_j f_j \sin 2\pi(hx_j + ky_j + lz_j) \right]^2} \quad \text{Formula 2}$$

$$F(hkl) = \sum_{j=1}^n f_j e^{2\pi i[hx + ky + lz]} \quad \text{Formula 3}$$

$$\rho(xyz) = \frac{1}{V} \sum_{hkl} |F(hkl)| \cdot e^{-2\pi i[hx + ky + lz - \phi(hkl)]}$$

I will start where we end in the previous class, a few formulas we have picked up are important. One is the

$$\phi = 2\pi (h x + k y + l z)$$

$$|F(hkl)| = \sqrt{\left[\sum f_j \cos 2\pi (hx_j + ky_j + lz_j) \right]^2 + \left[\sum f_j \sin 2\pi (hx_j + ky_j + lz_j) \right]^2}$$

These we call formula 2

$$F(hkl) = \sum_{j=1}^n f_j e^{2\pi i[hx + ky + lz]}$$

$\sum_{j=1}^n$ for the n atom present

that is the formula 3 which is about structure factor.

The fourth formula is about electron density

$$\rho(xyz) = \frac{1}{V} \sum_{hkl} |F(hkl)| \cdot e^{-2\pi i[hx + ky + lz - \phi(hkl)]}$$

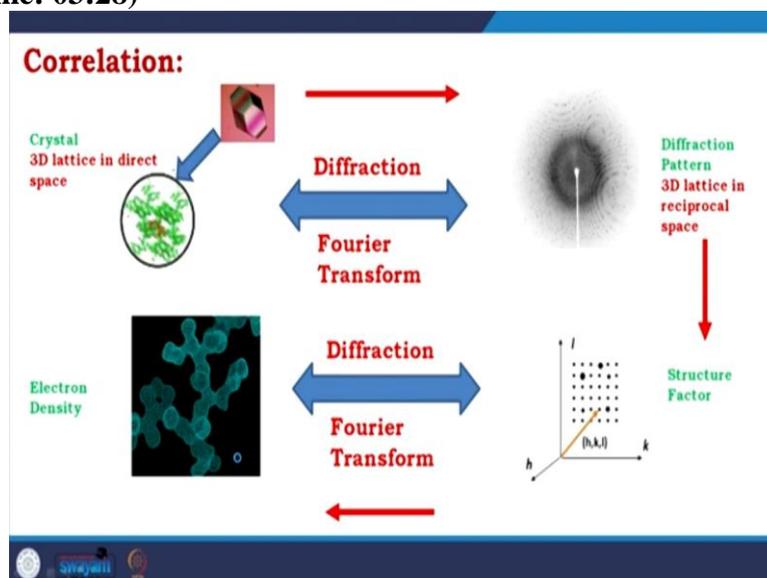
summation hkl - infinity to + infinity

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Real and Reciprocal Space:	
<u>Real Space</u>	<u>Reciprocal Space</u>
Unit Cell (a, b, c, α , β , γ)	Diffraction Pattern
Electron Density, $\rho(x, y, z)$	Reflections
Atomic Coordinates – x, y, z	Integrated Intensities – I(h,k,l)
Thermal Parameters – B	Structure Factors – F(h,k,l)
Bond Lengths (Ang)	Phase – $\alpha(h,k,l)$
Bond Angles (Deg)	
Crystal Faces	

I would also talk a little bit about the real and reciprocal spaces, which we discussed in detail while discussing crystal lattice. In the real space, we get information about unit cells a, b, c and alpha, beta, gamma, distance and angles, and electron density which I just talked about rho x, y, z. Atomic coordinates x, y, z. Thermal parameters B, which we will talk about later in detail. Bond lengths in angstrom, bond angles in degrees, crystal faces. From the reciprocal space, we will learn about diffraction patterns, reflections, and integrated intensities: I (h, k, l.) Structure factors F (h, k, l.) Phase alpha (h, k, l).

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I would also like to talk about some correlations. So, throughout the data collection and processing story, we talked about crystal, unit cell, lattice. From a crystal, we get the information of 3D lattice in direct space. Whereas you know that this is a diffraction pattern, this represents a 3D lattice from a

diffraction pattern. So, the crystal represents a 3D lattice, and the diffraction pattern also represents a 3D lattice. Still, this is a 3D lattice in direct space, this is the 3D lattice in the reciprocal space, and the conversion from crystal to diffraction pattern is a diffraction experiment. Whereas when you want to do the theoretical calculation, this is the Fourier transform. Similarly, this is the electron density, and this is the structure factor.

These are also interconvertible in the experimental term, diffraction, whereas Fourier transforms in the theoretical term. If we now consider the entire thing, it starts by, definitely start by getting a pure protein, but then the process of crystallography starts after getting a crystal. So, from crystal to obtaining a diffraction pattern by diffraction, by applying X-ray then from diffraction pattern the intensity of spots would give us the structure factor.

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$$F(hkl) = \sum_{j=1}^n f_j e^{2\pi i[hx+ky+lz]}$$

$$\rho(xyz) = \frac{1}{V} \sum_{hkl} [F(hkl)] \cdot e^{-2\pi i[hx+ky+lz-\phi(hkl)]}$$

And then from structure factor by inverse Fourier, we get electron density also the relation of electron density and structure factor, now we know the formula. In contrast, the structure factor

$$F(hkl) = \sum f_j e^{2\pi [hx + ky + lz]}$$

$\sum j = 1$ to n for the n atom present

whereas the electron density

$$\rho(xyz) = 1 / V \sum [F(hkl)] * e^{2\pi [hx + ky + lz - \phi(hkl)]}$$

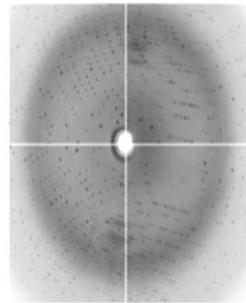
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Amplitude and Phase:

$$F_{hkl} = \sum_j f_j \exp(-2\pi i(hx_j + ky_j + lz_j))$$

$$F_{hkl} = \sum_j |F_{hkl}| \exp(-2\pi i(\phi))$$



From an x-ray diffraction experiment we can 'measure' the amplitude but get **no information about the phase**

Deriving the phase is known as **'the phase problem'**

We know that $F(hkl)$ has two terms, f_j and exponential value of $e^{2\pi [hx + ky + lz]}$. So, if you see that if j summation gives us the absolute value of the structure factor, which is amplitude which comes directly from the diffraction pattern, the other term is the phase. We can measure the amplitude from an X-ray diffraction experiment discussed in detail, but we get no information about the phase. So, we get this, but we do not get information.

Is it we do not get it what else today? We will discuss that. But more importantly, as we are getting the amplitude and do not get the phase, we are unable to calculate electron density. This is called the phase problem. As you all know now, the phase problem is critical and famous in protein crystallography.

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The Crystallographic Phase Problem:

In order to calculate an electron density map, we require both the **intensities** $I = F^2$ and the **phases** of the reflections **hkl** .

The information content of the phases is appreciably greater than that of the intensities.

Unfortunately, it is **almost impossible to measure/derive the information of phases experimentally!**

This is known as the crystallographic phase problem and would appear to be unsolvable!

So, to calculate an electron density map, we require both the intensities and the phases of the reflection. The information content of the phases is appreciably greater than that of the intensities. Unfortunately, it is almost impossible to measure the information of the phases experimentally, and this is known as the crystallographic phase problem and appears to be unsolvable, very important.

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Arthur Lindo Patterson:

The impossibility of measuring the relative phases among the diffracted beams, $\Phi(hkl)$, makes unfeasible a direct calculation of the electron density function

Without this it could be impossible to find the atomic positions within the unit cell

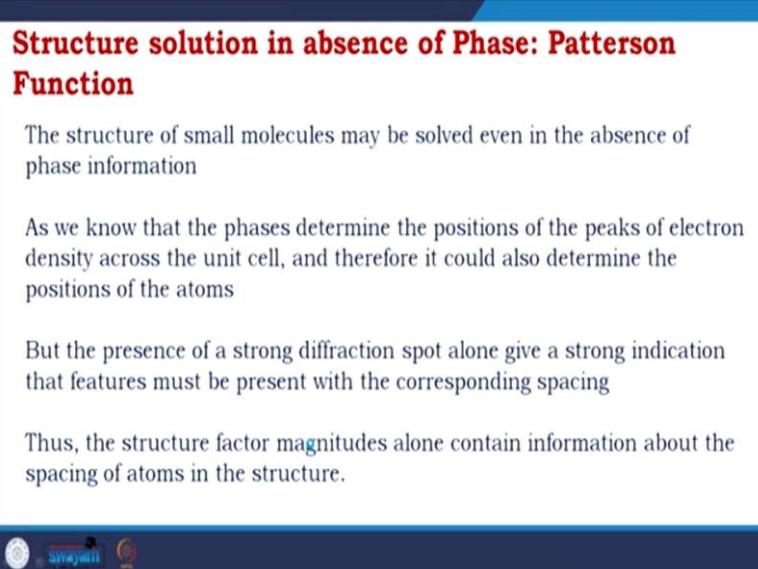
This problem was solved only after 1934 when **Arthur Lindo Patterson** (1902-1966) introduced his brilliant idea, thereby obtaining the first **solution to the phase problem**.



A Fourier Series Method for the Determination of the Components of Interatomic Distances in Crystals, A.L. Patterson (1934) Phys. Rev., 46, 372-376

And when we are talking about that, we have to introduce Arthur Lindo Patterson. So, the impossibility of measuring the relative phases among the diffracted beams $\phi(hkl)$ makes a direct calculation of the electron density function unfeasible. Without this, it could be impossible to find the atomic position within the unit cell. The problem was solved only after 1934 when Patterson introduced his brilliant idea, thereby obtaining the first solution to the phase problem. What is his idea? We are going to discuss this in detail.

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Structure solution in absence of Phase: Patterson Function

The structure of small molecules may be solved even in the absence of phase information

As we know that the phases determine the positions of the peaks of electron density across the unit cell, and therefore it could also determine the positions of the atoms

But the presence of a strong diffraction spot alone give a strong indication that features must be present with the corresponding spacing

Thus, the structure factor magnitudes alone contain information about the spacing of atoms in the structure.

Structure solution in the absence of phase the Patterson function. The structure of small molecules may be solved even in the absence of phase information. As we know that the phases determine the positions of the peaks of electron density across the unit cell, it could also determine the atom's position. But the presence of a strong diffraction spot alone indicates that features must be present with the corresponding spacing.

Thus the structure factor magnitudes alone contain information about the spacing of atoms in the structure. So, we have discussed but just to your memory refreshed, if you see the amplitude? The amplitude is if you look at the diffraction pattern, you see different spots dot. Some of the dots are very intense, whereas some are not so intense. So, you get the intensity i^2 which is proportional to the structure factor. But also, if you see, there is a pattern of the space difference, the difference between the spaces of the dots. When I was talking about the phase, the phase comes because of these differences. So, from the diffraction pattern, it could also, there is a chance that we could have gained some information about the phase.

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Structure solution in absence of Phase: Patterson Function

This information may be accessed by calculation of the Patterson function (Patterson, 1934)

The Patterson function is obtained by calculating a map using the squared structure factor magnitudes, and all the phases set to zero

Instead of peaks at the atomic positions, the Patterson map shows peaks at every position that corresponds to an interatomic vector in the structure

The Patterson function has been an effective tool for solving small molecules; however, its usefulness falls quickly as the number of atoms increases

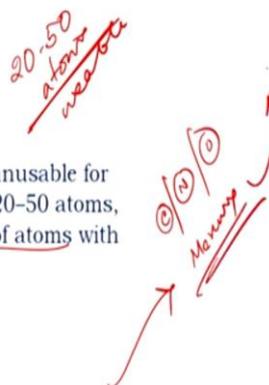
For a structure of N atoms, the Patterson function will contain $N(N-1)$ interatomic vectors, many of them overlapped

This information may be accessed by calculation of the Patterson function. The Patterson function is obtained by calculating a map using the squared structure factor magnitude and zero phases. Instead of peaks at the atomic position, the Patterson map shows peaks at every position corresponding to an interatomic vector in the structure. The Patterson function has been an effective tool for solving small molecules. However, its usefulness falls quickly as the number of atoms increases. So, you may get to calculate it without getting the information about the phase, but more the atoms coming more complexity the method gets to be less effective. For a structure of N atoms, the Patterson function will contain $N(N-1)$ interatomic vectors, many of which overlapped.

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Structure solution in absence of Phase: Patterson Function

This approach becomes unusable for structures of more than 20-50 atoms, unless there is a subset of atoms with high atomic number



This approach becomes unusable for the structure of more than 20 to 50 atoms unless there is a subset of atoms with a high atomic number. So, two things, one for small molecule this is

good, you understand it, a second thing is coming which is great, and it is now for what in protein crystallography Patterson is used. If you see again, this approach becomes unusable for a structure of more than 20 to 50 atoms. So, for structures of 20 to 50 atoms usable, this is one part. The second part is unless there is a subset of atoms with a high atomic number. So, in protein, if you remember and I will talk about this, there is carbon, nitrogen, and oxygen. Now, if you put mercury, the intensity is much higher, so you have a chance of using it; you get it.

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Patterson Function: Technicality

Patterson derived his function, $P(uvw)$, by introducing some modifications into the electron density function

$$\rho(xyz) = \frac{1}{V} \sum_{hkl} |F(hkl)| \cdot e^{-2\pi i(hx+ky+lz-\phi(hkl))} \quad P(uvw) = \frac{1}{V} \sum_{hkl} |F(hkl)|^2 \cdot \cos 2\pi [hu + kv + lw]$$

As a result of this derivation, the structure factors, represented by their amplitudes, $|F(hkl)|$ and phases $\phi(hkl)$, are replaced by the squared amplitudes whose values are proportional to the diffracted intensities

$$|F(hkl)|^2 = \frac{I(hkl)}{K \cdot A \cdot L \cdot p}$$

K: Scale Factor; A: absorption Factor; L: Lorentz factor, and p represents the polarization factor

Therefore, the Patterson function can be directly calculated from the experimental data obtained in the diffraction experiment. However, the issue is to obtain the atomic coordinates from this new function

Patterson derived his function $P(uvw)$. So, now, this XYZ is changing to uvw by introducing some modifications into the electron density function. How? Let us take a look.

So, this is

$$\rho(xyz) = \frac{1}{V} \sum_{hkl} |F(hkl)| \cdot e^{2\pi i(hx + ky + lz - \phi(hkl))}$$

summation hkl - infinity to + infinity

Patterson function

$$\rho(uvw) = \frac{1}{V} \sum_{hkl} |F(hkl)|^2 \cdot \cos 2\pi (hu + kv + lw)$$

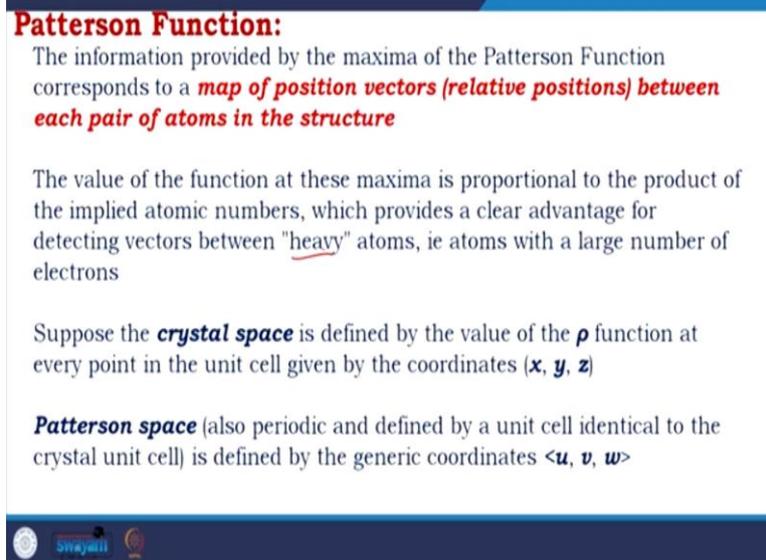
As a result of this derivation, the structure factors represented by their amplitudes and phases are replaced by the squared amplitudes whose values are proportional to their diffracted intensities. So, there is no phase and the amplitude is squared. And this comes to ultimately to the formula

$$|F(hkl)|^2 = I(hkl) / K \cdot A \cdot L \cdot p$$

K is the scale factor, A is the absorption factor, L is the Lorentz factor and p represents the polarization factor.

So, the Patterson function can be directly calculated from the experimental data obtained in the diffraction experiment because now we do not see the involvement of a phase. However, the issue is to obtain the atomic coordinates from this new function.

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Patterson Function:
The information provided by the maxima of the Patterson Function corresponds to a *map of position vectors (relative positions) between each pair of atoms in the structure*

The value of the function at these maxima is proportional to the product of the implied atomic numbers, which provides a clear advantage for detecting vectors between "heavy" atoms, ie atoms with a large number of electrons

Suppose the *crystal space* is defined by the value of the ρ function at every point in the unit cell given by the coordinates (x, y, z)

Patterson space (also periodic and defined by a unit cell identical to the crystal unit cell) is defined by the generic coordinates $\langle u, v, w \rangle$

The slide features a blue header and footer. The footer contains three small circular logos: a white one on the left, a blue one in the middle with the text 'swayam', and a red one on the right.

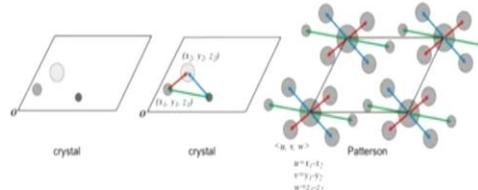
The information provided by the maxima of the Patterson function corresponds to a map of position vectors which are relative positions between each pair of atoms in the structure. The value of the function at these maxima is proportional to the product of the implied atomic numbers, which provides a clear advantage for detecting vectors between heavy atoms that is atomic with a large number of electrons.

Again, we will discuss this, but you could easily now understand that carbon, nitrogen, and oxygen. Now, suppose you include transition metals mercury, gold, platinum. In that case, you have a lot of electrons, which gives a lot of intensity because, in this method, intensity plays a huge role in the scattering, where you have the importance of the number of electrons. Suppose the value of rho (ρ) function defines the crystal space at every point in the unit cell given by the coordinates x, y, z . Patterson space which is also periodic and defined by a unit cell that is identical to the crystal unit cell, is defined by the generic coordinates (u, v, w)

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The relation would be described in such a way that any pair of atoms in the crystal, located at (x_1, y_1, z_1) and (x_2, y_2, z_2) , will be shown in the Patterson map by a maximum with coordinates:

$$u = x_1 - x_2; \quad v = y_1 - y_2; \quad w = z_1 - z_2$$



The positions of these maxima (with coordinates u, v, w) represent the differences between the coordinates of each pair of atoms in the crystal: $u=x_1-x_2, v=y_1-y_2, w=z_1-z_2$

At the origin, there is a high maximum corresponding to the interatomic vectors of each atom with itself, that is with coordinates $[0, 0, 0]$

The relation would be described in such a way that any pair of atoms in the crystal, located at x_1, y_1, z_1 , and x_2, y_2, z_2 will be shown in the Patterson map by a maximum with coordinates:

$$u = x_1 - x_2; \quad v = y_1 - y_2; \quad w = z_1 - z_2.$$

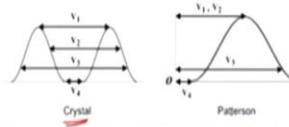
So, if you see the points x_1, y_1, z_1 , and x_2, y_2, z_2 coordinates here, you will see how u, v, w is coming as we already talked about, $u = x_1 - x_2; v = y_1 - y_2; w = z_1 - z_2$.

The positions of these maxima represent the differences between the coordinates of each pair of atoms in the crystal. At the origin, which is $0, 0, 0$, there is a high maximum corresponding to the interatomic vector of each atom with itself.

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Patterson Function: Characteristics

Patterson Function is defined in a periodic space whose unit cell is identical to the crystal unit cell



It shows a high density of peaks, i.e., the function contains a high number of maxima

The Patterson function of a crystal formed by N atoms in the unit cell, will show N^2 maxima (all possible interatomic vectors), and even discounting the vectors of each atom with itself, the number of maxima will be $N^2 - N$

In addition, and due to the fact that atoms are not punctual (they have a volume), there will be many vectors between their profiles and therefore the interatomic peaks (the profile of the Patterson maxima) will be wide, producing some overlap among the Patterson peaks

So, we now understand mathematically how u , v , w change up this equation and how it put a lot of importance on the diffraction amplitude, neglecting the phase. Patterson function is defined in a periodic space whose unit cell is identical to the crystal unit cell. It shows a high density of peaks because of the maxima. That is, the function contains a high number of maxima. The Patterson function of a crystal formed by an atom in the unit cell will show N square maxima all possible interatomic vectors and even discounting the vectors if you do that, of each atom with itself, the number would be $N^2 - N$. In addition, because atoms are not punctual and have a volume, there will be many vectors between their profiles. Therefore, the interatomic peaks will be wide, producing some overlap among the Patterson peaks. You could see that it would be wide here, and there are overlaps if you compare the crystals, the peaks, and the Pattersons.

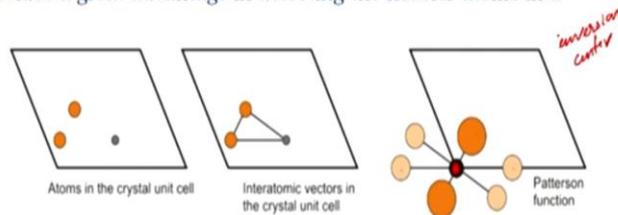
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Patterson Function: Characteristics

The height of the peaks is proportional to the product of atomic numbers of the atoms involved

Therefore, the highest maxima in the Patterson function correspond to vectors between atoms with highest atomic numbers

This fact provides a great advantage in detecting the heavier atoms in a structure



The height of the peaks is proportional to the product of atomic numbers of the atoms involved; therefore, the highest maxima in the Patterson function correspond to vectors between atoms with the highest atomic numbers. Again giving an advantage to the heavy atoms, this fact provides a great advantage in detecting the heavier atoms in a structure. So, if you see, this is the atom in the crystal unit cell. These are the interatomic vectors in the crystal unit cell, the Patterson function. Also, if you see, I talked about these in the symmetry topic. If you look at this point, everyone is the same and equal distance. So, this is an inversion center. You get an inversion center or center of the inversion I.

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Patterson Function: Characteristics

The symmetry of the Patterson Function (the symmetry of Patterson space) is higher than the one of the electron density function (the crystal)

As a result, if the crystal symmetry can be represented by one of the 230 space groups, the corresponding Patterson symmetry will be represented by only 24 space groups

This simplification is due to the loss of information that occurs when the structure factors (amplitudes and phases) in $\rho(xyz)$ are replaced in the $P(uvw)$ function by the squared amplitudes only

In Patterson function, if there is a vector from atom 1 to atom 2, there will be another (identical but in the opposite direction) from atom 2 to atom 1. This means that the Patterson Function is always centrosymmetric

And it would be very important when we are talking about symmetry. The symmetry of the Patterson function or the symmetry of the Patterson space is higher than one of the electron density functions, the crystal. As a result, if the crystal symmetry can be represented by one

of the 230 space groups you already know, only 24 will represent the corresponding Patterson symmetry. This simplification is due to the loss of information when the structure factors in $\rho(xyz)$ are replaced in the $P(u\ v\ w)$ function by the squared amplitudes only. Also, in the Patterson function, if there is a vector from atom 1 to atom 2, there will be another vector identical but in the opposite direction from atom 2 to atom 1. This means that the Patterson function is always centrosymmetric.

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Direct Methods:

For small and intermediate-sized molecules the atoms are normally well ordered, and as a result structure factors may be measured to very high diffraction angles

The high-angle diffraction spots give information about finely spaced features in the unit cell

In this case the missing phase information may be reconstructed directly from mathematical relationships between the structure factors

Since the phases come directly from the observed diffraction pattern, these methods are referred to as 'direct methods'

Talking about the direct methods to solve the phase problem for small and intermediate-sized molecules, the atoms are normally well ordered. As a result, structure factors may be measured to very high diffraction angles. The high angle diffraction spots give information about finely spaced features in the unit cell. The missing phase information may be reconstructed directly from mathematical relationships between the structure factors.

Let us think about NaCl crystal or something here. You know that you have only two atoms, sodium, and chlorine. And you know their nature and also know the space and all. So, you could reconstruct. They are less complex. Since the phases come directly from the observed diffraction pattern, these methods are direct methods.

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Direct Methods:

The phase relationships on which direct methods rely depend on placing constraints on the electron density in the unit cell, for example that it is everywhere positive, or that it is clumped into distinct atomic peaks

If one structure factor is known in both magnitude and phase, then it can be inferred that atoms are more likely to be located in some regions of the cell and less likely to be in other regions

This places restrictions on the possible phases of other structure factors, which must reinforce likely areas in order to produce sharp peaks at atomic position

The phase relationships on which direct methods rely depend on placing constraints on the electron density in the unit cell. For example, it is everywhere positive or clumped into distinct atomic peaks. So, as you know, when you have a very complex protein, it is difficult to find them out. But when you have a small molecule, you know the information also, it is easier. If one structure factor is known in both magnitude and phase then, it can be inferred that atoms are more likely to be located in some regions of the cell and less likely to be in other regions. I was talking about the small molecules where the information is simpler, and it is more regular, it is more definitive and all. This places restrictions on other structure factors' possible phases, which must reinforce likely areas to produce sharp peaks at the atomic position. So, in one way, it is simpler, and they are as if you imagine, if there is NaCl as we are talking about, there would be more redundancy so that peak intensity would be more and all those things.

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Direct Methods:

The strongest relationship of this form is the three phase invariant: in this case, the constraints of positivity and atomicity imply that when three reflections whose Miller indices sum to zero are strong, the phases of those reflections must sum to a value near zero (Cochran, 1952).

The strongest relationship of this form is the three-phase invariant. In this case, the constraints of positivity and atomicity imply that when three reflections whose mirror indices sum to zero are strong, the phases of those reflections must sum to a value near zero.

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Direct Methods:

A direct methods calculation might then proceed as follows:

- A) Phases are chosen for a few strong reflections,
- B) Then phases for other reflections are generated using phase relationships among strong reflections
- C) Once enough phases have been calculated, the electron density may be calculated and can be interpreted in terms of atomic positions

Unfortunately, the phase relationships become weaker as the number of atoms in the structure increases; furthermore this approach only works when data can be collected to high resolution

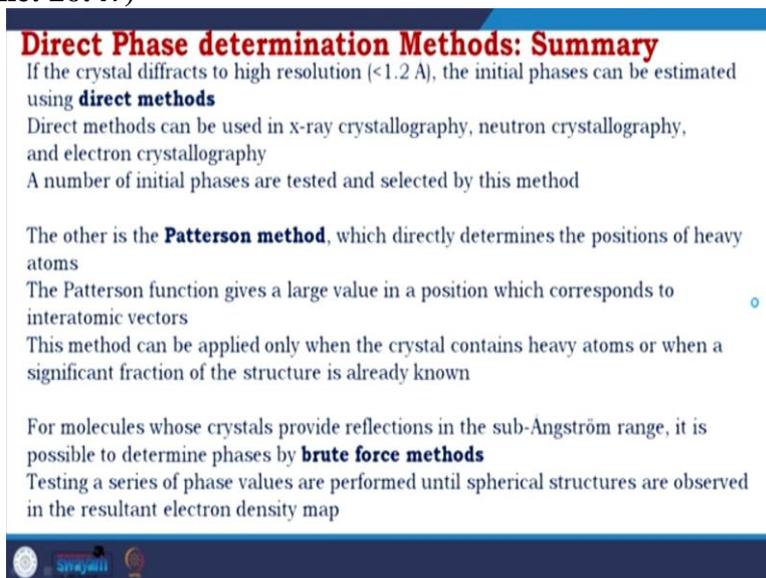
The use of multi-solution methods, by which a larger set of starting phases is chosen at random, and the calculation is repeated many times until a reasonable structure is obtained, has allowed direct methods to solve structures of up to 2000 atoms

A direct method calculation might then proceed as follows. One, phases are chosen for a few strong reflections, then phases for other reflections are generated using phase relationships amongst strong reflections. Once enough phases have been calculated, the electron density may be calculated and interpreted in terms of atomic positions. So, first, the phases are chosen for strong reflections because they are easy to get. Phases for other reflections are generated using phase relationship the mathematical distance among strong reflections.

Once enough phases have been calculated, the electron density may be calculated and interpreted in terms of atomic position. Unfortunately, the phase relationship becomes weaker as the number of atoms in the structure increases. Furthermore, this approach only works when data can be collected to high resolution. So, the requirements are simpler compounds with fewer atoms and high resolution.

Using the multi-solution method, a larger set of starting phases is chosen at random. The calculation is repeated many times until a reasonable structure is obtained allowed direct methods to solve the structure of up to 2000 atoms.

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Direct Phase determination Methods: Summary

If the crystal diffracts to high resolution ($<1.2 \text{ \AA}$), the initial phases can be estimated using **direct methods**

Direct methods can be used in x-ray crystallography, neutron crystallography, and electron crystallography

A number of initial phases are tested and selected by this method

The other is the **Patterson method**, which directly determines the positions of heavy atoms

The Patterson function gives a large value in a position which corresponds to interatomic vectors

This method can be applied only when the crystal contains heavy atoms or when a significant fraction of the structure is already known

For molecules whose crystals provide reflections in the sub-Angström range, it is possible to determine phases by **brute force methods**

Testing a series of phase values are performed until spherical structures are observed in the resultant electron density map

Let us get a summary of this. So, there are three methods: direct method, one is called Patterson function-based method, and another is called brute force method. If the crystal diffracts to a high resolution of less than 1.2 angstroms, the initial phases can be estimated using direct methods. Direct methods can be used in X-ray crystallography, neutron crystallography, and electron crystallography. Several initial phases are tested and selected by this method.

The other one is the Patterson method which directly determines the position of heavy atoms. The Patterson function gives a large value corresponding to interatomic vectors. This method can be applied only when the crystal contents, heavy atoms, or a significant structure fraction are already known.

For molecules whose crystals reflect the sub angstrom range, it is possible to determine phases by the brute force method.

In this method, we have to test a series of phase values which will be performed until spherical structures are observed in the resultant electron density map. So, this is about the direct method and its possibilities, advantages, disadvantages.

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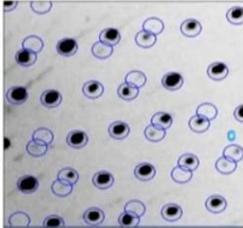
The Crystallographic Phase Problem: Analysis

We've seen that, when waves are diffracted from a crystal, they give rise to diffraction spots

Each diffraction spot corresponds to a point in the reciprocal lattice and represents a wave with an amplitude and a relative phase

But really what happens is that photons are reflected from the crystal in different directions with a probability proportional to the square of the amplitude of this wave

We count the photons, and we lose any information about the relative phases of different diffraction.



Again, come back to the fifth problem. Why did the first problem technically happen? We have seen that when waves are diffracted from a crystal, they give rise to diffraction spots. Each diffraction spot corresponds to a point in the reciprocal lattice and represents a wave with an amplitude and a relative phase. But in reality, what happens is that photons are reflected from the crystal in different directions, with a probability proportional to the square of the amplitude of this wave. We count the photons, and we lose any information about the relative phases of different diffractions. So, we count the photons, but we lose any information about the relative phases.

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Phase Problem: Technical Discussion

In physics, the **phase problem** is the problem of loss of information concerning the phase that can occur when making a physical measurement

Light detectors, such as photographic plates or CCDs, measure only the intensity of the light that hits them

This measurement is incomplete (even when neglecting other degrees of freedom such as polarization and angle of incidence) because a light wave has not only an amplitude (related to the intensity), but also a phase, which is systematically lost in a measurement

In diffraction or microscopy experiments, the phase part of the wave often contains valuable information on the studied sample

In physics, the phase problem is the loss of information concerning the phase when making a physical measurement. Light detectors, such as photographic plates or CCDs, measure only the intensity of the light that hits them. This measurement is incomplete, and when I say incomplete, we are neglecting other degrees of freedom, such as polarization and angle of incidence, because a light wave has an amplitude and a phase that is systematically lost in the measurement. In diffraction or microscopy experiments, the phase part of the wave often contains valuable information on the studied sample.

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Phase Problem: Technical Discussion

The phase problem constitutes a fundamental limitation ultimately related to the nature of measurement in quantum mechanics

In X-ray crystallography, the diffraction data when properly assembled gives the amplitude of the 3D Fourier transform of the molecule's electron density in the unit cell

If the phases are known, the electron density can be simply obtained by Fourier synthesis

This Fourier transform relation also holds for two-dimensional far-field diffraction patterns giving rise to a similar type of phase problem.

This phenomenon is popularly called as Fraunhofer diffraction

The phase problem constitutes a fundamental limitation ultimately related to the nature of the measurement in quantum mechanics. In X-ray crystallography, when properly assembled, the diffraction data gives the amplitude of that 3D Fourier transform of the molecule's electron density in the unit cell. If the phases are known, the electron density can be obtained by

Fourier synthesis. This Fourier transform relation also holds for 2-dimensional far-field diffraction patterns that lead to a similar phase problem. This 2-dimensional phenomenon is popularly called Fraunhofer diffraction.

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The Crystallographic Phase Problem: Analysis

If we try to draw a figure like **Fig-A** showing the position of the atoms in different color, it shows again how the phase and amplitude of the **overall scattered wave** arise from the **individual scattered waves**

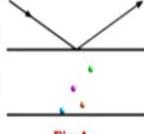


Fig-A

Two Bragg planes are shown, together with four atoms

The relative phase (from 0 to 360 degrees) depends on the relative distance of the atoms between the planes that define a phase angle of zero

The atoms and their contributions to the scattering (represented as vectors) are shown in matching colors (**Fig-B**)

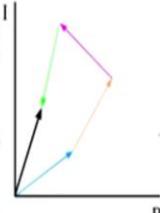


Fig-B

The overall scattered wave is represented by a black vector, which is the sum of the other vectors

So, if you see figure A, there are four atoms and 2 Bragg planes. Now, if we try to draw a figure like a figure is swinging the position of the atoms in different colors, so four colors are showing four different atoms, it shows again how the phase and amplitude of the overall scattered wave arise from the individual scattered waves. So, every atom has its scattering. There are four atoms and 2 Bragg planes. The relative phase from 0 to 360 degrees depends on the relative distance of the atoms between the planes that define a phase angle of zero. So, if you look at the plot up imaginary and real in figure B, the atoms and their contributions to the scattering are shown in matching colors. The overall scattered wave is represented by a black vector, the sum of the other factors.

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The vector (amplitude and phase or, more properly, the complex number) representing the overall scattering from a particular set of Bragg planes is termed the structure factor, and it is usually denoted \mathbf{F}

It turns out that the structure factors for the various points on the reciprocal lattice correspond to the Fourier transform of the electron density distribution within the unit cell of the crystal

A very convenient property of the Fourier transform is that it is reversible; if you apply an inverse Fourier transform to the structure factors, you get back the electron density

So we measure a diffraction pattern, take the square roots of the intensities, and we're stuck: if we knew the phases we could simply compute a picture of the molecule, but we've lost the information in the experiment!

This is the phase problem, and a large part of crystallography is devoted to solving it.



The vector, amplitude, and phase or, more properly, the complex number representing the overall scattering from a particular set of Bragg planes is termed the structure factor, and it is usually denoted as F . It turns out that the structure factors for the various points on the reciprocal lattice correspond to the Fourier transform of the electron density distribution within the unit cell of the crystal. A very convenient property of the Fourier transform is that it is reversible if you apply an inverse Fourier transform to the structure factor, you get back the electron density which we have discussed earlier. So, we measure a diffraction pattern by taking the intensities' square root, and we are stuck. If we knew the phases, we could compute a picture of the molecule, but we have lost the information through our experiment. So, we talked about what the problem is now. Through theory, we try to understand that this is the phase problem, and a large part of the development of crystallography is devoted to solving it.

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Goal of the Experiment:

$$\rho(xyz) = \frac{1}{V} \sum_h \sum_k \sum_l |F_{hkl}| \exp[-2\pi \cdot i(hx + ky + lz) + i\phi_{hkl}]$$

Need to solve the equation above for all
x, y, z in the unit cell

What do we know? -

- A. We record the p (position, the triple index hkl) and
- B. Intensity, I_{hkl} , of each reflection (spots on the detector)

So, this electron density equation needs to solve the equation for all xyz in the unit cell.

$$\rho(xyz) = 1/V \sum \sum \sum F(hkl) e^{-2\pi i(hx + ky + lz + \phi_{hkl})}$$

What do we know?

We record the ρ (the position, the triple index hkl), and intensity is I_{hkl} , proportional to each reflection's structure factor square. We get from the spots in the detector the diffraction pattern.

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From Diffraction Data to Electron Density:

From the structure factor equation we can see that if we know the contents of the unit cell, we can calculate F_{hkl}

We are dealing with the inverse problem

We have information about F_{hkl} but need to know the contents of the crystal

As we talked about, the measured intensities are proportional to the coefficient of the electron density equation. So, from the structure factor equation, we can see that if we know the contents of the unit cell, we can calculate $F(hkl)$. But actually, we are dealing with the inverse problem. We have information about $F(hkl)$. We got it from the intensity from the

spots but need to know the content of the crystal. We have $F(hkl)$, and we want to get the electron density which will help us spot the atoms to understand what is there in the crystal.

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From Structure factor to Electron Density:

The structure factor equation is periodic, and is represented a Fourier series

Taking the Fourier Transform (FT) of the equation for F ... we get the necessary equation

$$\rho(xyz) = \frac{1}{V} \sum_{hkl} |F(hkl)| \cdot e^{-2\pi i[hx+ky+lz-\phi(hkl)]}$$

which describes the electron density in the crystal

So the FT of the diffraction data gives us a representation of the contents of the crystal

Reminder: The FT of the contents of the crystal gives us the diffraction pattern

The structure factor equation is periodic and is represented as a Fourier series. Taking the Fourier transform of the equation for $F(hkl)$, we get the necessary equation.

$$\rho(xyz) = 1 / V \sum |F(hkl)| * e^{2\pi i [hx + ky + lz - \phi(hkl)]}$$

summation hkl - infinity to + infinity

This is the electron density equation that describes the electron density of the crystal.

So, the Fourier transform of the diffraction data gives us a representation of the crystal's contents. And note that the Fourier transform of the content of the crystal gives us the diffraction pattern.

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Example of Fourier Transforms:

An atom, and its Fourier Transform:



Note both functions have circular symmetry

The atom is a sharp feature, whereas its transform is a broad smooth function

This illustrates the reciprocal relationship between a function and its Fourier transform

So, let us take an example of an atom and its Fourier transform. So, if this is an atom, the Fourier transform is like that. So, both functions have circular symmetry. If you see, the atom is a sharp feature, whereas its transform is a broad, smooth function. This illustrates the reciprocal relationship between a function and its Fourier transform.

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The molecule consists of seven atoms

Its transform shows some detail, but the overall shape is still that of the atomic transform

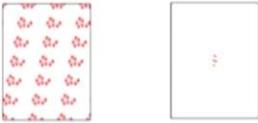
We can consider the molecule as the convolution of the point atom structure and the atomic shape

Thus its transform is the product of the point atom transform and the atomic transform

Now we take a bigger molecule, consisting of 7 atoms, and its Fourier transform shows some detail, but the overall shape is still that of the atomic transform. We can consider the molecule as the convolution of the point atom structure and the atomic shape. Thus its transform is the product of the point atom transform and the atomic transform.

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A crystal, and its Fourier Transform:



Finally, we build up a crystal by convoluting the molecule with the grid

The result is a crystal structure

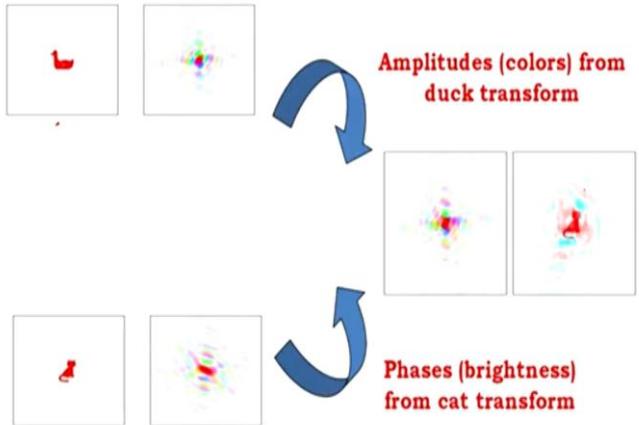
The Fourier transform of the crystal is thus the product of the molecular transform and the reciprocal lattice

This is the diffraction pattern

Now we are going to crystal, and we start getting a pattern. Finally, we build up a crystal by convoluting the molecule with the grid. The result is a crystal structure. The Fourier transform of the crystal is thus the product of the molecular transform and the reciprocal lattice. We also talked about this and this is the diffraction pattern.

(Refer Slide Time: 37:26)

'Good' phases are more important than 'good' amplitudes:



Amplitudes (colors) from duck transform

Phases (brightness) from cat transform

<http://www.ydli.york.ac.uk/~cowtan/fourier/magic.html>

So, now, if you have pictures with phase and amplitude, if we take amplitude or color of a picture from the duck transform, we get phases brightness from the cat transform. You see that you could see the cat, which means that good phases are more important than good amplitude.

(Refer Slide Time: 38:08)

Methods for Resolving the Phase Problem:

1. Direct Methods

discuss

2. Experimental Phasing

3. Molecular Replacement

- requires some prior knowledge of the crystal structure you want to solve (homologous protein, etc.)

So, what are the methods to solve the phase problem? The direct method we have discussed already. Another two categories are experimental Phasing. We will use heavy atoms. The third one is the molecular replacement, which requires prior knowledge of the crystal structure you want to solve.

Molecular replacement is a hybrid technique taking the concept of theory and experiment together. It is a great contribution from Michael Rossmann, and we will discuss these and their effect and role in changing protein crystallography in detail.

(Refer Slide Time: 40:02)

Introduction of Heavy Atoms:

The use of heavy-atom substitution was invented very early on by small-molecule crystallographers to solve the phase problem

The isomorphous crystals (same unit cells) of CuSO_4 and CuSeO_4 (Groth, 1908) was the first one to be solved using this technology

The changes in intensities of some classes of reflections were used by Beevers & Lipson (1934) to locate the Cu and S atoms

It was Max Perutz and John Kendrew who first applied the methods to proteins (Perutz, 1956; Kendrew *et al.*, 1958)

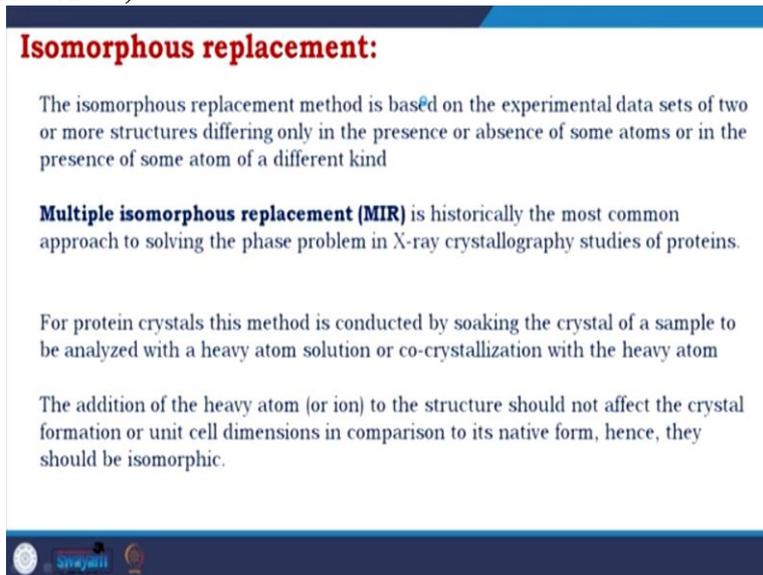
They have performed soaking protein crystals in heavy-atom solutions to create isomorphous heavy-atom derivatives (same unit cell, same orientation of protein in cell) which gave rise to measurable intensity changes which could be used to deduce the positions of the heavy atoms

Small-molecule crystallographers invented heavy atoms substitution very early on to solve the phase problem. The isomorphous crystals, which are the same type as CuSO_4 and CuSeO_4 , were the first to be solved using that technology of isomorphous replacement.

Beevers and Lipson used the changes in intensities of some reflection classes to locate the copper and sulfur atoms.

Max Perutz and John Kendrew first applied the methods to proteins. They have performed soaking protein crystals in heavy atoms solutions to create isomorphous heavy atomic derivatives, same unit cell, the same orientation of protein in the cell, which gives rise to measurable intensity changes which could be used to deduce the position of heavy atoms.

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Isomorphous replacement:

The isomorphous replacement method is based on the experimental data sets of two or more structures differing only in the presence or absence of some atoms or in the presence of some atom of a different kind

Multiple isomorphous replacement (MIR) is historically the most common approach to solving the phase problem in X-ray crystallography studies of proteins.

For protein crystals this method is conducted by soaking the crystal of a sample to be analyzed with a heavy atom solution or co-crystallization with the heavy atom

The addition of the heavy atom (or ion) to the structure should not affect the crystal formation or unit cell dimensions in comparison to its native form, hence, they should be isomorphous.

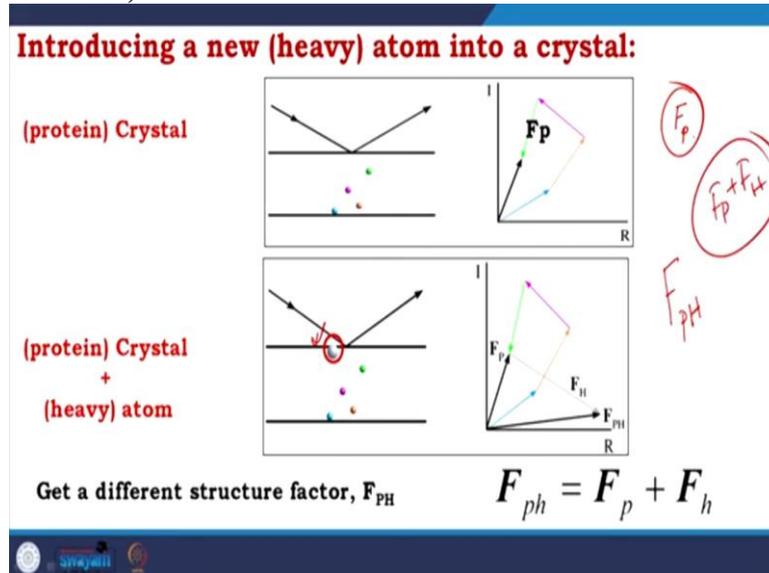
What is the isomorphous replacement? The isomorphous replacement method is based on the experimental data sets of 2 or more structures differing only in the presence or absence of some atoms or in some atom of a different kind. Multiple isomorphous replacement or MIR is historically the most common approach to solving the phase problem in X-ray crystallography studies of protein.

For protein crystals, this method is conducted by soaking the crystal of a sample to be analyzed with a heavy atom solution or co-crystallization with the heavy atom. So, I talked about it, but if you do not remember, we could soak a small molecule or a heavy metal into it when we get a crystal. Is this a challenging process? Yes, it is a very challenging process that I will discuss a little bit in the next class.

But this is probably the only way the other alternative is when you are growing a crystal you remember the drops we set up hanging drop, sitting drop, while we are doing the screening we add heavy atoms there. So, the first one where after the crystal is formed, you do the process is called soaking, you soak it. You have a heavy metal solution, and you put the crystal there. So, the crystal could soak a heavy metal.

Another one is co-crystallization. You add the heavy metal inside the protein. So they could crystallize together. The addition of the heavy atom of iron to the structure should not affect the crystal formation or unit cell dimension compared to its native form. Hence they should be isomorphous. So, if the effect you cannot continue with this. How to know? Again we will discuss this in the next class.

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There are four atoms they contribute, and the black vector represents the sum. So, these represent the structure factor of the protein, F_p . When you add the heavy atom, here is the heavy atom. What do you get? You get F_p , and you get F_h . You get a different structure factor

$$F_{ph} = F_p + F_h$$

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Introducing a new (heavy) atom into a crystal: Isomorphous differences:

Two protein diffraction patterns shifted vertically relative to one another

One is from native bovine beta-lactoglobulin, the other from a crystal of the same protein soaked in a mercury-salt solution

Note: 1) intensity changes for reflections in the latter;
2) identical unit cells suggesting isomorphism.

$$|\Delta F| = |F_{ph} - F_p|$$

So, introducing a heavy atom into a crystal is isomorphous differences, so two protein diffraction patterns shifted vertically relative to one another. One is from native bovine beta-lactoglobulin and the other from a crystal of the same proteins soaked in a mercury salt solution. So, as heavy metal intensity changes for reflection in the latter, identical unit cells suggest isomorphism.

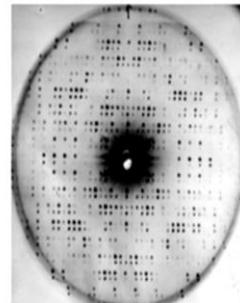
$$|\Delta F| = |F_{ph} - F_p|$$

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Introducing a new (heavy) atom into a crystal: Isomorphous differences:

Addition of 1 Hg atom to a protein of 1000 atoms will produce an average fractional change of intensity of ~25% so differences should be easy to measure

$$\langle \frac{\Delta I}{I} \rangle = \sqrt{\frac{N_H}{2N_P}} f_H / f_P$$



Taylor, G. L. (2010). Introduction to phasing. *Acta Cryst. D66*, 325-338.

We can use isomorphous differences to derive phase information via isomorphous replacement

So, if you look at here, you see that there are sets, some of the sets, like if I take these sets are stronger and some are not so strong. So, what happened here? Adding one mercury atom to a protein of 1000 atoms will produce an average fractional change of intensity of 25%, so differences should be easy to measure. So, the intensity difference

$$\langle \Delta I / I \rangle = \sqrt{N_H / 2 N_P} f_H / f_P$$

f_H is the contribution of scattering from the heavy atom, f_p is scattering from the protein. We can use isomorphous differences to derive phase information via isomorphous replacement.

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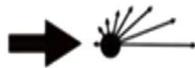
Anomalous Dispersion Affect Scattering Factor:

In a first approach the scattering power of the different atoms does not depend on the wavelength of the X-ray radiation

However, there are side effects that make them different. How?

If the incident X-ray radiation has a frequency close to the natural oscillation frequency of the electrons of a given atom, there occurs the so-called **anomalous dispersion**, which modifies the atomic dispersion factor, $f_i (= f_0)$.

In this situation, the expression of scattering factor is modified with two terms, f' and f'' , which represent the real and imaginary components, respectively, of the anomalous fraction of the atomic scattering factor



$$f = f_0 + f' + if''$$

In a first approach, the scattering power of the different atoms does not depend on the wavelength of the X-ray radiation. However, there are side effects that make them different. If the incident X-ray radiation has a frequency close to the natural oscillation frequency of the electrons of a given atom.

There occurs the so-called anomalous dispersion, which modifies the atomic dispersion factor. In this situation, the expression of the scattering factor is modified with two terms

$$f = f_0 + f' + if''$$

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Anomalous Dispersion:

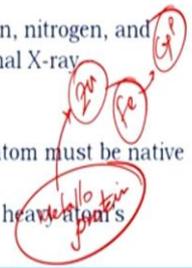
In protein crystallography, **anomalous scattering** refers to a change in a diffracting X-ray's phase that is unique from the rest of the atoms in a crystal due to strong X-ray absorbance

The amount of energy that individual atoms absorb depends on their atomic number

The relatively light atoms found in proteins such as carbon, nitrogen, and oxygen do not contribute to anomalous scattering at normal X-ray wavelengths used for X-ray crystallography

Thus, in order to observe anomalous scattering, a heavy atom must be native to the protein or a heavy atom derivative should be made.

In addition, the X-ray's wavelength should be close to the heavy atom's absorption edge



In protein crystallography, anomalous scattering refers to a change in a diffracting X rays phase that is unique from the other atoms in a crystal due to strong X-ray absorbance. The amount of energy that individual atoms absorb depends on their atomic number. The relatively light atoms found in proteins such as carbon, nitrogen, and oxygen do not contribute to anomalous scattering at normal X-ray wavelengths used for X-ray crystallography.

Thus to observe anomalous scattering, a heavy atom must be native to the protein, or a heavy atom derivative should be made. So, it would help if you had a heavy atom to do that a metal again and understand to observe anomalous scattering, a heavy atom must be native to the protein, or a heavy atom derivative should be made.

So, what is native? There are some proteins which are called Metalloproteins. In those proteins zinc, iron in their different oxidative states presents like, for iron, this is cytochrome p450. So, there are different DNA binding proteins with zinc and zinc fingers, so they are native, but if it is not native, you have to develop heavy-atom derivatives. In addition, the X-rays wavelength should be close to the heavy atoms absorption edge. So, you have to choose the heavy atom according to that.

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MAD: Multiple Anomalous Dispersion

In this technique, atoms' inner electrons absorb X-rays of particular wavelengths, and reemit the X-rays after a delay, inducing a phase shift in all of the reflections, known as the *anomalous dispersion effect*.

Analysis of this phase shift (which may be different for individual reflections) results in a solution for the phases.

MAD is called Multiple Anomalous Dispersion. In this technique, atoms' inner electrons absorb X rays of particular wavelengths and reemit the X rays after a delay inducing a phase shift in all reflections known as the anomalous dispersion effect. This phase shift (which may be different for individual reflections) results in a solution for the phases.

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MAD:

MAD depends on the presence of sufficiently strong anomalously scattering atoms in the protein.

Anomalous scattering occurs if the electrons in an atom cannot be regarded as free electrons.

An anomalous scatterer absorbs X-rays of specified wavelength.

As a result of this absorption, Friedel's law does not hold, i.e, the reflections hkl and $-h-k-l$ are not equal in intensity. This inequality of symmetry related reflections is called anomalous scattering.

So, getting phase is a comparison of anything, any difference with the element with high electron content, with the element with anomalous anything the difference is made you are getting into your solution. MAD depends on sufficiently strong anomalously scattering atoms in the protein. As I talked about zinc, if you have one zinc and your protein is huge, then the presence of the zinc atom concerning the carbon, nitrogen, oxygen would be much low, you do not get good signal.

Anomalous scattering occurs if the electrons in an atom cannot be regarded as free electrons. An anomalous scattered absorbs X rays of a specified wavelength. As a result of this absorption, Friedel's law does not hold that the reflection hkl and $-h - k - l$ are not equal in intensity. This inequality of symmetry-related reflections is called anomalous scattering.

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Seleno methionine Incorporation:

It was discovered by **Thressa Stadtman**



Selenocysteine is present in several enzymes (for example glutathione peroxidases, tetraiodothyronine 5' deiodinases, thioredoxin reductases, formate dehydrogenases, glycine reductases, selenophosphate synthetase 2, methionine-R-sulfoxide reductase B1 (SEPX1), and some hydrogenases)

The biochemical utility of selenocysteine was described by biochemist Robert Hondal (University of Vermont) and chemist Hans Reich (University of Wisconsin-Madison).



In the case of methionine, there is sulfur. The sulfur is replaced with selenium in some bacteria, which probably adapt to very high selenium conditions. That code is utilized in protein engineering to be introduced so that whatever methionines are there is changed to selenomethionine. It was discovered by Thressa Stadtman.

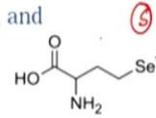
Selenocysteine is present in several enzymes glutathione peroxidases, tetraiodothyronine 5' prime deiodinases, thioredoxin reductases, formate dehydrogenases, glycine reductases, selenophosphate synthetase 2, methionine R sulfoxide reductase B1 or SEPX1, and some hydrogenases. Biochemist Robert Hondal described the biochemical utility of selenocysteine from University of Vermont and chemist Hans Reich, University of Wisconsin, Madison.

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Selenomethionine Incorporation:

Selenomethionine (SeMet) is a naturally occurring amino acid.

The **L-selenomethionine** enantiomer is the main form of selenium found in Brazil nuts, cereal grains, soybeans, and grassland legumes



Se-methylselenocysteine is the major form of selenium found in *Astragalus*, *Allium*, and *Brassica* species

Incorporation of selenomethionine into proteins in place of methionine aids the structure elucidation of proteins by X-ray crystallography using method of anomalous dispersion.

So, as I said, it is a naturally occurring amino acid. The L selenomethionine enantiomer is the main selenium found in Brazil nuts, cereal grains, soybeans, and grassland legumes. Selenomethylselenocysteine is the major selenium found in *Astragalus*, *Allium*, and *Brassica* species. Incorporation of selenomethionine into protein in place of methionine aids the structure elucidation of proteins by X-ray crystallography using the method of anomalous dispersion.

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Selenomethionine Incorporation:

Metalloproteins (Fe) structures have been solved with MAD.

Proteins that do not naturally contain anomalous scatterers can be expressed in *E. coli* in a defined medium with selenomethionine.

The selenium atoms serve as anomalous scattering heavy atom

Caveat:

MAD requires a tunable wavelength: data collection can only be done at synchrotron radiation facilities (Brookhaven, Stanford, APS etc.).

Metalloproteins structures have been solved with multiple anomalous dispersion (MAD). Proteins that do not naturally contain anomalous scatterers can be expressed in *E. coli* in a defined medium with selenomethionine or selenocysteine. The Selenium atoms serve as anomalous scattering heavy atom. The caveat or MAD requires a tunable wavelength. The data collection can only be done at synchrotron radiation facilities.