

# **Advanced Aircraft Control Systems With MATLAB / Simulink**

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**Lecture 39**

**Introduction to Backstepping Control**

Hello, everyone. Here, we are going to start a new topic on Backstepping Control. This is basically a nonlinear control design approach. In the last lecture, we discussed feedback linearization control, and we studied how we can design the control for the nonlinear system. So here, we are also going to consider backstepping control for the nonlinear system, and we will have MATLAB simulation. Later in this topic, we will have the aircraft problem, and we will see how we can apply this concept to aircraft applications. We will also have the MATLAB code. So let's first start with the concept, the main concept behind this topic. Then we will have the example and MATLAB simulation. So let me write some of this concept, how we can come up with real applications before you go to the aerial systems. Here, the backstepping control is a recursive control design method for the linear system. So what does this mean? The recursive method will come up, recursive control. So this kind of control you can apply to the particular structure of the system.

And that is basically called a recursive structure. And this system here, basically, we're going to have It is primarily useful for systems where the states can be grouped and we go into subsystems. And this subsystem actually will have the recursive structure subsystems. So here, we'll go step by step on how we can come up with the final control design for a particular system using the backstepping control. So let's first, we have the Basically, this is, for example, the first case. So here, a recursive system. So here, the system is divided into subsystems, and here, basically, each subsystem depends on the previous one. So if the system is in the structure, we can say the system is in the recursive structure. For example, if you have a system, for example,

$$\dot{x}_1 = f_1(x_1) + x_2$$

$$\dot{x}_2 = f_2(x_1, x_2) + u$$

So what is, so here, basically,  $U$  is going to be designed for  $x_2$ . And this  $x_2$  is going to be the control variable for  $x_1$ . So for this first subsystem, we're going to have the virtual control for  $x_2$ , which will help us to control  $x_1$ . And that virtual variable, what we will be assuming for  $x_2$ , will be used to design the overall control for  $x_2$  dynamics. So as you proceed, we will come up with the full idea of how it is happening.

So, the next part is once you have done the next part, we'll go to the next part. So here, for each subsystem, a virtual control will be considered. Which is as a reference signal, a reference for the next subsystem. So it means, suppose for  $x_2$ , we will consider some reference signal as a virtual control for  $x_1$ , and this reference or virtual control will be used in the for the next subsystem, for this system. Okay. Then we'll move to the next step. Define the tracking error between the actual state and the virtual control. So, for example, we can assume

$$z_1 = x_1 - x_{1d}$$

$$z_2 = x_2 - \alpha_1$$

This is the error.  $z_1$  is the error, and  $z_2$  is the error for the  $z_2$  dynamics. Okay, here  $\alpha_1$  will be the virtual control. And finally, we will come to the last step here, basically. So here, Lyapunov function is constructed for each subsystem to prove stability. So, these are the processes we will be following for designing the backstepping control. Now, let's take an example, and we will validate this project. How we can come up with an example where we will be using all these steps. So, as an example, in this example, we will also have MATLAB code to validate our results. So, let us consider The following non-linear system is defined by

$$\dot{x}_1 = f_1(x_1) + x_2$$

$$\dot{x}_2 = f_2(x_1, x_2) + u$$

So, if you notice, this system is in a recursive structure. So here, if you notice, this  $f_1(x_1)$  is the only function of  $x_1$ . And here, this is actually a function of  $x_1$  and  $x_2$ . So, if it is  $\dot{x}_3$ , then the function will be  $f_3(x_1, x_2, x_3)$ . So, if the system is written in this structure, we can say the system is in a recursive structure. So now, here we are,  $f_1$  and  $f_2$  are the nonlinear functions. Let's assume they are nonlinear functions, and  $u$  is the control input. You can say now our objective is to design  $u$  in such a way that  $x_1$  tracks  $x_{1d}$ , ( $d$  is desired) okay? And the overall closed-loop system is stabilized. So, this is our mission objective for this problem. Here, you'll be using a backstepping-based control concept

and validate all the loops you have considered. This process will move on, okay? So, the first step is step one. Solution step one So here, first, we will define the error, the tracking error for the first subsystem. We can write Let us define the tracking error

$$z_1 = x_1 - x_{1d}$$

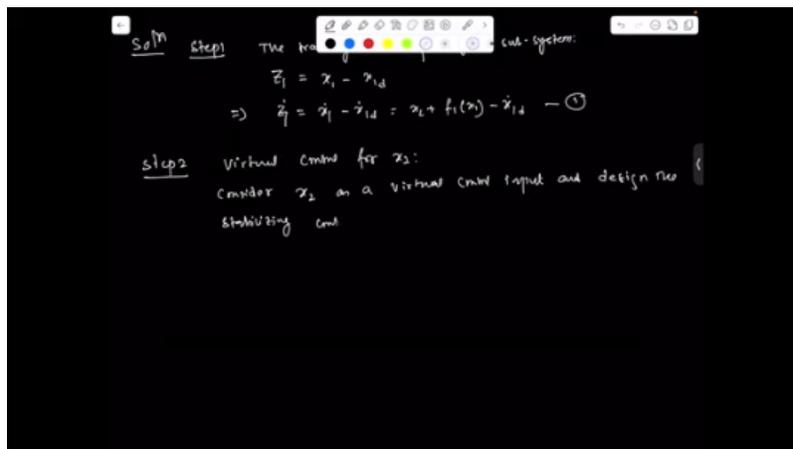
$$\dot{z}_1 = x_2 + f_1(x_1) - \dot{x}_{1d} \dots Eq(1)$$

Now, step two. Here, let us define the virtual control for  $x_2$ . Okay. So let us assume that this  $x_2$  will be the virtual control for  $z_1$  dynamics. So let us write, consider  $x_2$  as a virtual control or virtual control input and design the stabilizing. So in this particular topic, you will have more than five or six examples, and I hope through these examples, you'll have a better understanding to design backstepping control for the nonlinear system. So, stabilizing, design the stabilizing control law for  $z_1$ . So for this, let us choose  $\alpha_1$  be the virtual control input for  $z_1$ . So here, this is how I am, how we are choosing. So here, this is  $k_1$  be the control gain parameter, which is a positive control gain. If you notice carefully, if you substitute this function,

$$\alpha_1 = -k_1 z_1 - f_1(x_1) + \dot{x}_{1d} \dots Eq(2)$$

So we just, we will prove this through the Lyapunov.

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Okay. Now, Combine equation 1 and equation 2, we can write

$$\dot{z}_1 = -k_1 z_1 + x_2 - \alpha_1$$

So if you notice here carefully, once these two parameters are equal, then this becomes a stabilizing function. This stable dynamics, right?. So this is what we have done, right? So in place of  $x_2$ , we are choosing  $\alpha_1$  to be the stabilizing function for  $z_1$  dynamics. So now, step 3: we will prove this through Lyapunov. Step 3: let us define our Lyapunov function as

$$V_1 = \frac{1}{2} z_1^2$$

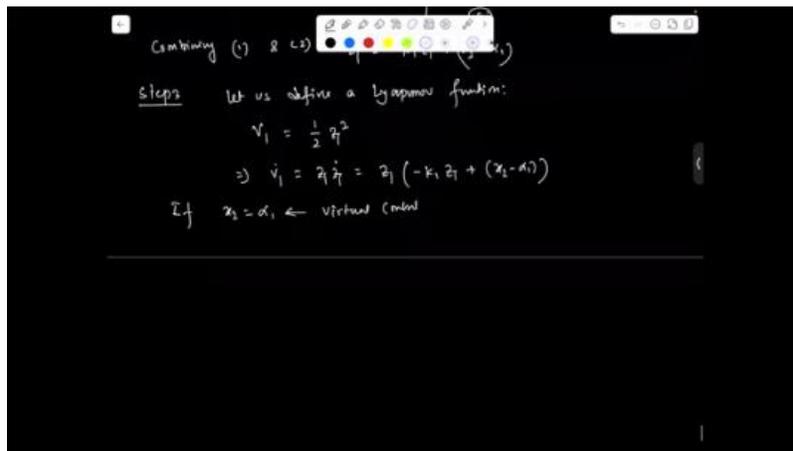
So this is the dynamics equation we are having. And for this, we are choosing the Lyapunov function in the structure. Now from this, we can write

$$\dot{V}_1 = z_1 \dot{z}_1 = z_1 (-k_1 z_1 + x_2 - \alpha_1)$$

So now if  $x_2 = \alpha_1$ , we can say this is the virtual control, right,  $\alpha_1$ . So we can write

$$\dot{V}_1 = -k_1 z_1^2 \leq 0$$

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So this is, we can say, negative semi-definite. And this is, through this, we can say that the system is stable. So how are we checking stability? So if you substitute, so for this condition, from this equation, we can write

$$\dot{z}_1 = -k_1 z_1$$

so this is basically globally asymptotically stable. This definition we have done in our previous lecture. What is a globally stable system? And here, why is it stable? Because here,  $k_1$  is always positive. Now, we will be designing the overall control  $u$  for the entire system. So now, let me write one note to help understand better how we can find the error

for the  $z_2$  dynamics. To achieve this, this means this asymptotically stable system, we can write the state  $x_2$  must be equal to the virtual control.  $\alpha_1$ , but since  $x_2$  and  $\alpha_1$  start from different initial values, we must must design the control force for  $x_2$  so that, or you can write here, control force to track  $x_2$ , or we can write further for  $x_2$  to track  $\alpha_1$ . So this is, so here in this case, we can write uh step four, step four define the error between  $x_2$  and  $\alpha_1$ . So in this case, we can write

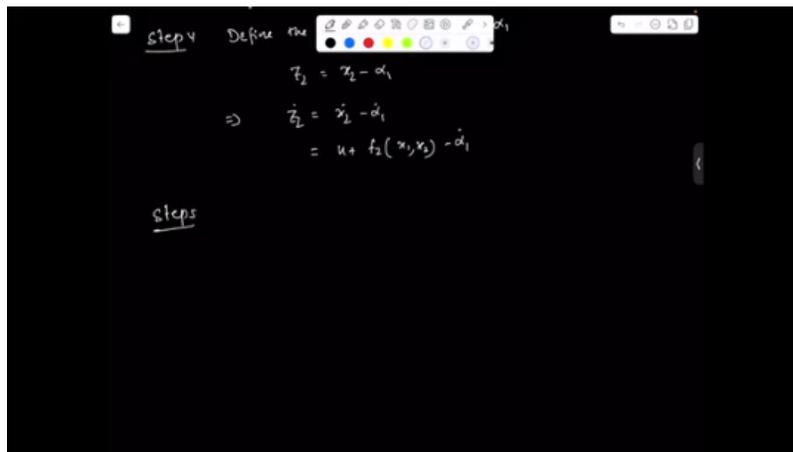
$$z_2 = x_2 - \alpha_1$$

$$\dot{z}_2 = u + f_2(x_1, x_2) - \dot{\alpha}_1 \dots Eq(2)$$

So now we'll be designing the overall control  $u$  for the entire system. So here's step five  
So now we have to design  $u$  control to stabilize  $z_2$  dynamics. So now let us choose, let us choose  $u$  as

$$u = -k_2 z_2 - f_2(x_1, x_2) + \dot{\alpha}_1 \dots Eq(4)$$

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So if you notice here, in place of  $u$ , if you substitute this expression, so we will get  $\dot{z}_2$  equal to this part. So it is also stable dynamics. So because  $k_2$ , so here we can write  $k_2$  is a, greater than zero positive control gain parameter. Now substituting 4 in equation 3, we can write

$$\dot{z}_2 = -k_2 z_2$$

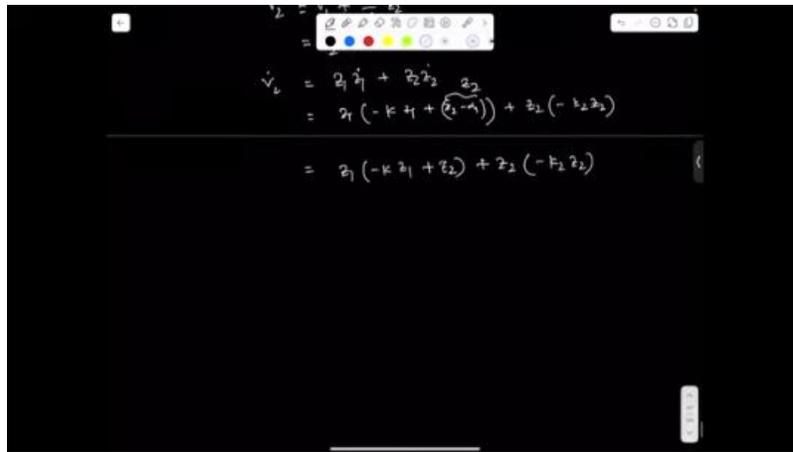
So this is our stable dynamics. Now we will prove the overall stability of the system step six. So in this stability proof, we will come up with some condition further Control gain parameters will help us to choose the specific value of the control gains for practical examples. Now, let us define the Lyapunov function for the entire system:

$$V_2 = V_1 + \frac{1}{2}z_2^2$$

$$\dot{V}_2 = z_1\dot{z}_1 + z_2\dot{z}_2$$

$$= -k_1z_1^2 + z_1z_2 - k_2z_2^2$$

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But we know that using the fact we can write

$$z_1z_2 \leq \frac{1}{2}z_1^2 + \frac{1}{2}z_2^2$$

$$\leq -\left(k_1 - \frac{1}{2}\right)z_1^2 - \left(k_2 - \frac{1}{2}\right)z_2^2$$

So now, if  $k_1$  is greater than half and  $k_2$  greater than half,  $\dot{V}_2$  is less than or equal to zero. So, this ensures the stability of the overall system. Now, we have proved the overall backstepping process for this particular example. Now, from the above analysis, we can come up with the main control structure, which would be useful for designing the MATLAB code. So, from the above analysis, the controls are

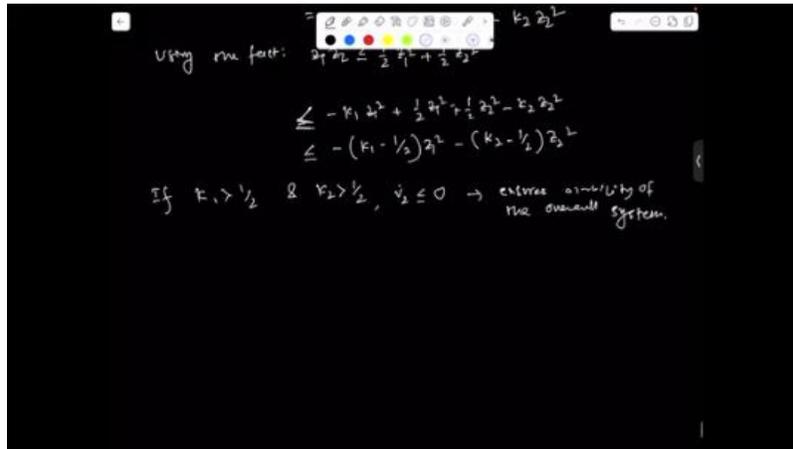
$$u = -k_2z_2 - f_2(x_1, x_2) + \dot{\alpha}_1$$

$$\alpha_1 = -k_1z_1 - f_1(x_1) + \dot{x}_{1d}$$

$$z_1 = x_1 - x_{1d}$$

$$z_2 = x_2 - \alpha_1$$

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Using the fact:  $z_1^2 + z_2^2 = \frac{1}{2} z_1^2 + \frac{1}{2} z_2^2$

$$\leq -k_1 z_1^2 + \frac{1}{2} z_1^2 + \frac{1}{2} z_2^2 - k_2 z_2^2$$

$$\leq -(k_1 - \frac{1}{2}) z_1^2 - (k_2 - \frac{1}{2}) z_2^2$$

If  $k_1 > \frac{1}{2}$  &  $k_2 > \frac{1}{2}$ ,  $\dot{V}_2 \leq 0 \rightarrow$  ensures asymptotic stability of the overall system.

Now, we'll go to the MATLAB code and see how we can validate the whole concept and verify the result, okay? So here, whatever process we have done in this lecture, it is there. So we have taken, as per the stability condition, these are the values we have considered. And in this case,  $k_1$  and  $k_2$  should be like this. And these are the initial conditions for  $x_1$  and  $x_2(0)$ . And this is the desired dynamics for  $x_1$ , and the derivative of  $\dot{x}_1$  is this. The total time span of the simulation is 20 seconds, and we have used ode45 to solve this problem. These are the MATLAB codes for the figure, and here we have defined two variables,  $x_1$  and  $x_2$ , like that. And these are the nonlinear functions we have introduced in this example:

$$f_1 = 0.1x_1^2$$

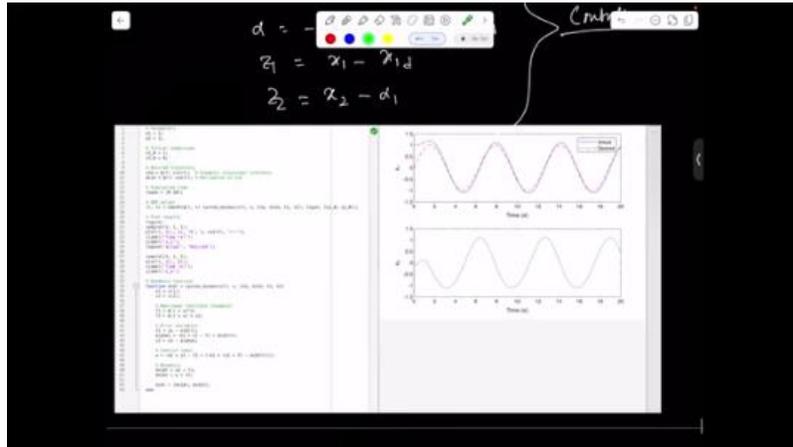
$$f_2 = 0.2x_1x_2$$

So these are the nonlinear functions we have considered in this example, and we have This is the error variable we have chosen,  $z_1$   $Z_1$ , and  $\alpha_1$ , whatever we have taken from the analysis, is written here,

$$z_2 = x_2 - \alpha_1$$

and this is the overall control, which we have obtained here, this expression, and this is the derivative part of  $x_1$  and  $x_2$ . So now, if you notice here, the result,

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$x_1$  tracks the desired, which is the dotted line with red color. And  $x_2$  is also stabilizing. So this is how we can design the backstepping-based control for this nonlinear system. In the next lecture, we'll come up with different examples and how we can also solve them through MATLAB. Thank you.